



# The Ontario Gazette

# La Gazette de l'Ontario

Vol. 133-10  
Saturday, 4th March, 2000

Toronto

ISSN 0030-2937  
Le samedi 4 mars 2000

## Proclamation

(Great Seal of Ontario)

HILARY M. WESTON

PROVINCE OF ONTARIO

*ELIZABETH THE SECOND*, by the Grace of God of the United Kingdom, Canada and Her other Realms and Territories, Queen, Head of the Commonwealth, Defender of the Faith.

PROCLAMATION

*INTERCOUNTRY ADOPTION ACT, 1998*

A proclamation be issued naming March 8, 2000, as the effective date upon which the remainder of the *Intercountry Adoption Act, 1998*, Statutes of Ontario, 1998, chapter 29, comes into force. The remainder being the following provisions of the Act:

Sections 5, 6, 7  
Subsections 8(1), (3)  
Clause 10(b)  
Subsection 13(3)  
Sections 14, 17, 18, 19  
Subsections 20(1), (2), (4), (5), (6)  
Sections 21, 22, 25

WITNESS:

THE HONOURABLE  
HILARY M. WESTON

LIEUTENANT GOVERNOR OF OUR  
PROVINCE OF ONTARIO

GIVEN at Toronto, Ontario, on February 16, 2000.

BY COMMAND

CHRIS HODGSON  
Chair of the Management Board of Cabinet

(Great Seal of Ontario)

HILARY M. WESTON

PROVINCE DE L'ONTARIO

*ELIZABETH DEUX*, par la grâce de Dieu, Reine du Royaume-Uni, du Canada et de ses autres royaumes et territoires, Chef du Commonwealth, Défenseur de la Foi.

PROCLAMATION

*LOI DE 1998 SUR L'ADOPTION INTERNATIONALE*

Qu'une proclamation soit rendue pour fixer le 8 mars 2000 comme la date où entre en vigueur le reste de la *Loi de 1998 sur l'adoption internationale*, Lois de l'Ontario, chapitre 29. Le reste étant les dispositions suivantes de la Loi:

Les articles 5, 6, 7  
Les paragraphes 8(1), (3)  
La disposition 10(b)  
Le paragraphe 13(3)  
Les articles 14, 17, 18, 19  
Les paragraphes 20(1), (2), (4), (5), (6)  
Les articles 21, 22, 25

TÉMOIN :

L'HONORABLE  
HILARY M. WESTON

LIEUTENANTE-GOUVERNEURE DE NOTRE  
PROVINCE DE L'ONTARIO

FAIT à Toronto (Ontario) le 16 février 2000.

PAR ORDRE

CHRIS HODGSON  
Président du Conseil de gestion du gouvernement  
(6606) 10

Published by Management Board Secretariat  
Publié par Secrétariat du Conseil de gestion

© Queen's Printer for Ontario, 2000  
© Imprimeur de la Reine pour l'Ontario, 2000



393



## Motor Vehicle Transport Act/Truck Transportation Act Loi sur les transports routiers/Loi sur le camionnage

The following are applications for operating licences under the *Truck Transportation Act*, R.S.O. 1990, Chapter T.22, and/or the *Motor Vehicle Transport Act*, 1987, Chapter 35. The applicants have met the fitness requirements pursuant to Section 6 of the *Truck Transportation Act* and/or Section 8(2) of the *Motor Vehicle Transport Act*, 1987 and the provincial transport board and/or the Registrar of Motor Vehicles proposes to issue the licences if no written objection is served on the applicant and filed with the Registrar of Motor Vehicles, within thirty days of this publication.

The following applicants have applied for Authority to offer a transportation service for the carriage of Goods:

On trouvera ci-après la liste des demandes de permis d'exploitation présentées en vertu de la *Loi sur le camionnage*, L.R.O. 1990, chapitre T.22, et/ou la *Loi de 1987 sur les transports routiers*, L.C. 1987, chapitre 35. On a jugé que les personnes ayant présenté ces demandes se conformaient aux critères d'aptitude prévus au paragraphe 8(2) de la *Loi de 1987 sur les transports routiers* et l'office des transports de l'Ontario et/ou le registraire des véhicules automobiles dans les trente jours suivant la publication des présentes.

Les personnes suivantes ont demandé l'autorisation d'offrir des services de transport de marchandises à destination.

**AJT INC.**  
MONTREAL, QC

**DANN-ANDERSON TRANSPORT INC.**  
CALGARY, AB

**A OK TRUCKING INC.**  
BRAMPTON, ON

**BAZYDLO, ZDZISLAW**  
ETOBICOKE, ON

**CALEDON TRANSPORT INC.**  
BRAMPTON, ON

**C & G FAST FREIGHT SYSTEMS INC.**  
TORONTO, ON

**CLANCY'S MOTOR EXPRESS INC.**  
LOUISVILLE, KY

**COOL RUNNERS INC.**  
LONDON, ON

**TRANSPORT DENICO INC.**  
LAC A LA TORTUE, QC

**FUGARD, DANIEL, L.**  
STRATFORD, ON

**DALE, GARDINER LIVESTOCK TRUCKING INC.**  
WETASKIWIN, AB

**G & J TRANSPORT INC.**  
WAUSAUKEE, WI

**GOLDEN TRUCK LINES INC.**  
MISSISSAUGA, ON

**HAGEN TRANSPORT LIMITED**  
AYR, ON

**H.D. EXPRESS INC.**  
NORTH YORK, ON

**GILBERT, HENRY TRANSPORT INC.**  
BELOEIL, QC

**HICKS, GERALD, LEON**  
BLACKSTOCK, ON

**JOHN'S SERVICES INC.**  
TONAWANDA, NY

**KD TRUCKING INC.**  
PICKERING, ON

**KNOLLMEN TRANSPORT INC.**  
CALGARY, AB

**LANDRIAULT CARRIERS INC.**  
ALFRED, ON

**LEVERT, RONALD, A.**  
SUDBURY, ON

**MCLAUGHLIN, RANDELL, L.**  
DUNNVILLE, ON

**NORTHBRIDGE TRANSPORT LTD.**  
WOODBIDGE, ON

**OLSEN, WAYNE, CANNON / OLSEN, LORI, A.**  
ROCKWOOD, ON

**PAPA 11, LEONARD, E.**  
DUNBAR, WV

**PAR-TRANSPORT SERVICES INC.**  
UXBRIDGE, ON

**P A T TRANSPORT LTD.**  
BRAMPTON, ON

**PINNACLE EXPRESS INC.**  
YPSILANTI, MI

**RAWN'S HAULAGE INC.**  
NEW LOWELL, ON

**RODERICK TRANSPORT LTD.**  
ETOBICOKE, ON

**RUSSON, ALBERT, F.**  
PLATTSVILLE, ON

**STEWART, NEIL, WILLIAM / STEWART, JEAN, AUDREY**  
WINCHESTER, ON

**PLACEMENTS TAURIN LTEE**  
ST-CHARLES-BORROMEE, QC

**K.M. THOMPSON HOLDINGS LIMITED**  
DARTMOUTH, NS

**T AND T WRIGHT CARRIERS LTD.**  
REGINA, SK

**893172 ONTARIO LIMITED**  
COTTAM, ON

**1132053 ONT INC.**  
BAINSVILLE, ON

**1388781 ONTARIO INC.**  
ETOBICOKE, ON

**2747-9518 QUEBEC INC.**  
ISSOUDUN, QC

**9045-2152 QUEBEC INC.**  
MAGOG, QC

**9046-8489 QUEBEC INC.**  
ST-CYRILLE DE WENDOVER, QC

**9081-8717 QUEBEC INC.**  
LAVAL, QC

J. Greig Beatty  
Manager  
Chef de Service

## ONTARIO HIGHWAY TRANSPORT BOARD

### NOTICE

**Periodically, temporary applications are filed with the Board. Details of these applications can be made available at any time to any interested parties by calling (416) 326-6732.**

The following are applications for extra-provincial and public vehicle operating licenses filed under the *Motor Vehicle Transport Act*, 1987, and the *Public Vehicles Act*. All information pertaining to the appli-

cant *i.e.* business plan, supporting evidence, etc. is on file at the Board and is available upon request.

Any interested person who has an economic interest in the outcome of these applications may serve and file an objection within 29 days of this publication. The objector shall:

1. complete a Notice of Objection Form,
2. serve the applicant with the objection,
3. file a copy of the objection and provide proof of service of the objection on the applicant with the Board,
4. pay the appropriate fee.

Serving and filing an objection may be effected by hand delivery, mail, courier or facsimile. Serving means the date received by a party and filing means the date received by the Board.

**LES LIBELLÉS DES DEMANDES PUBLIÉES CI-DESSOUS SONT AUSSI DISPONIBLES EN FRANÇAIS SUR DEMANDE.**

**Best Cabs & Couriers Inc. 45781**  
**239 Wellington St., St. Thomas, ON N5R 2S6**

Applies for a public vehicle operating licence as follows:

For the transportation of passengers on a chartered trip from points in the Counties of Elgin and Middlesex.

Provided that the licensee be restricted to the use of Class "D" public vehicles as defined in paragraph (a)(iv) of subsection 1 of Section 7 of Regulation 982 under the *Public Vehicles Act*, R.S.O. 1990, Chapter P. 54, each having a maximum seating capacity of twelve (12) passengers exclusive of the driver.

Felix D'Mello  
 Board Secretary/  
 Secrétaire de la Commission

## Government Notices Respecting Corporations Avis du gouvernement relatifs aux compagnies

### Certificates of Dissolution Certificats de dissolution

NOTICE IS HEREBY GIVEN that a certificate of dissolution under the *Business Corporations Act*, has been endorsed: The effective date precedes the corporation listings.

AVIS EST DONNÉ PAR LA PRÉSENTE que, conformément à la *Loi sur les compagnies*, un certificat de dissolution a été inscrit pour les compagnies suivantes : la date d'entrée en vigueur précède la liste des compagnies visées.

| Name of Corporation:<br>Dénomination sociale<br>de la compagnie : | Ontario Corporation Number<br>Numéro de la<br>compagnie en Ontario |
|-------------------------------------------------------------------|--------------------------------------------------------------------|
|-------------------------------------------------------------------|--------------------------------------------------------------------|

|                                                   |         |
|---------------------------------------------------|---------|
| <b>2000-1-7</b>                                   |         |
| 627708 ONTARIO INC. ....                          | 627708  |
| <b>2000-1-10</b>                                  |         |
| 1235504 ONTARIO LIMITED. ....                     | 1235504 |
| <b>2000-2-9</b>                                   |         |
| TWO-STAR AUTO SALES LIMITED. ....                 | 682177  |
| <b>2000-2-10</b>                                  |         |
| HOMES CANADA INC. ....                            | 1239951 |
| <b>2000-2-11</b>                                  |         |
| J. & J. NEWMAN, LANGER & ASSOCIATES LIMITED. .... | 114923  |
| LITTLE KINGDOM CHILDCARE INC. ....                | 904306  |
| VUKELIC HOLDINGS INC. ....                        | 893033  |
| 360543 ONTARIO LIMITED. ....                      | 360543  |
| 1129659 ONTARIO LIMITED. ....                     | 1129659 |
| <b>2000-2-14</b>                                  |         |
| DIALYSIS TRANSPORTATION SERVICES LTD. ....        | 1236490 |
| DONKING TECHNOLOGY & TRADING LTD. ....            | 1192228 |
| JOHN C. CRAGG (YORK) LIMITED. ....                | 1083522 |
| TRIM-TEX CRAFTS LTD. ....                         | 1075267 |
| UNIPLAST CORPORATION. ....                        | 1176245 |
| 786359 ONTARIO LIMITED. ....                      | 786359  |
| <b>2000-2-15</b>                                  |         |
| ASCENT POWER TECHNOLOGY INC. ....                 | 1263665 |
| BILLYGOAT TRANSPORT INC. ....                     | 1209568 |
| C.T.D.C-2 LTD. ....                               | 611483  |
| CELESTICA POWER SYSTEMS INC. ....                 | 1258735 |
| CITYSCAPE PRODUCTIONS CANADA LIMITED. ....        | 1055297 |
| COMPUTERWARE SYSTEMS LIMITED. ....                | 1153372 |
| R.G. SUTHERLAND SAFETY & SURVIVAL INC. ....       | 764642  |
| ROTHNER DATA & SECURITY INC. ....                 | 727011  |
| SPECTRUM HUMAN RESOURCES SYSTEMS LIMITED. ....    | 1093795 |
| WHITEHEAD & WATKINS INSURANCE BROKERS LTD. ....   | 710861  |
| 822756 ONTARIO INC. ....                          | 822756  |
| 1201541 ONTARIO INC. ....                         | 1201541 |
| <b>2000-02-16</b>                                 |         |
| ALFA THREADS CORPORATION. ....                    | 600395  |
| DANFORTH AND PAPE X-RAY LIMITED. ....             | 337404  |
| FIELDFRESH FARMS INC. ....                        | 629149  |

| Name of Corporation:<br>Dénomination sociale<br>de la compagnie : | Ontario Corporation Number<br>Numéro de la<br>compagnie en Ontario |
|-------------------------------------------------------------------|--------------------------------------------------------------------|
|-------------------------------------------------------------------|--------------------------------------------------------------------|

|                                             |         |
|---------------------------------------------|---------|
| GOLOW INVESTMENTS LIMITED. ....             | 84362   |
| OWL SUBCO CORP. ....                        | 1328118 |
| PROSTHETIC FILMS INC. ....                  | 1036031 |
| RC SUBCO CORP. ....                         | 1328046 |
| THE ACHIEVE GROUP LTD./LE GROUPE ACHIEVE    |         |
| LTEE. ....                                  | 1119416 |
| 1325016 ONTARIO INC. ....                   | 1325016 |
| 1325121 ONTARIO INC. ....                   | 1325121 |
| 1327761 ONTARIO LIMITED. ....               | 1327761 |
| 1327763 ONTARIO LIMITED. ....               | 1327763 |
| 1328536 ONTARIO INC. ....                   | 1328536 |
| <b>2000-2-17</b>                            |         |
| MARTLET TECHNOLOGIES LIMITED. ....          | 1107854 |
| STVW HOLDINGS INC. ....                     | 1388950 |
| TWO SPIRITS DESIGN COMMUNICATIONS INC. .... | 999351  |

10/00

CAROL D. KIRSH,  
 Director, Companies Branch  
 Directrice, Direction des compagnies

### Cancellation of Certificates of Incorporation (Business Corporations Act) Annulation de certificat de constitution en personne morale (Loi sur les sociétés par actions)

NOTICE IS HEREBY GIVEN that by orders under subsection 241 (4) of the *Business Corporations Act*, the certificates of incorporation set out hereunder have been cancelled and corporation(s) have been dissolved. The effective date of cancellation precedes the corporation listing.

AVIS EST DONNÉ PAR LA PRÉSENTE que, conformément au paragraphe 241 (4) de la *Loi sur les sociétés par actions*, les certificats présentés ci-dessous ont été annulés et les compagnies ont été dissoutes. La dénomination sociale des compagnies concernées est précédée de la date de prise d'effet de l'annulation.

| Name of Corporation:<br>Dénomination sociale<br>de la compagnie : | Ontario Corporation Number<br>Numéro de la compagnie<br>en Ontario |
|-------------------------------------------------------------------|--------------------------------------------------------------------|
|-------------------------------------------------------------------|--------------------------------------------------------------------|

|                                   |         |
|-----------------------------------|---------|
| <b>2000-2-18</b>                  |         |
| CONAC CONCRETE PRODUCTS INC. .... | 1347363 |

| Name of Corporation:<br>Dénomination sociale<br>de la compagnie : | Ontario Corporation Number<br>Numéro de la compagnie<br>en Ontario                    |
|-------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| ENDURO CORPORATION.....                                           | 1064548                                                                               |
| FIRST CONTINENTAL ASSISTANCE GROUP INC. ....                      | 1314763                                                                               |
| 1195321 ONTARIO LTD. ....                                         | 1195321                                                                               |
| 1230610 ONTARIO LIMITED .....                                     | 1230610                                                                               |
| 1338279 ONTARIO INC.....                                          | 1338279                                                                               |
| 10/00                                                             | CAROL D. KIRSH,<br>Director, Companies Branch<br>Directrice, Direction des compagnies |

### Erratum Notice Avis d'Erreur

Vide Ontario Gazette, **Vol. 132-26** dated **June 26, 1999**.

The following corporation was dissolved in error under subsection 241 (4) of the *Business Corporations Act* (or subsection 317 (9) of the *Corporations Act*) and has been returned to active status.

cf. Gazette de l'Ontario, **Vol. 132-26** datée du **juin 26, 1999**.

La corporation suivante a été dissoute par erreur en vertu de l'article 241 (4) de la *Loi sur les sociétés par actions* (ou 317 (9) de la *Loi sur les personnes morales*) et a été reconstituée.

| Name of Corporation:<br>Raison Sociale de la<br>personne morale : | Ontario Corporation Number<br>Numéro matricule de la personne<br>morale en Ontario    |
|-------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| NIAGARA FALLS GIRLS SOCCER CLUB INC.....                          | 1284666                                                                               |
| 10/00                                                             | CAROL D. KIRSH,<br>Director, Companies Branch<br>Directrice, Direction des compagnies |

### Credit Unions and Caisses Populaires Act (Certificates of Dissolution Issued) Loi sur les caisses populaires et les credit unions (Certificats de dissolution)

NOTICE IS HEREBY GIVEN that, under the *Credit Unions and Caisses Populaires Act, 1994*, dissolution has been declared of:

AVIS EST PAR LA PRÉSENTE DONNÉ QUE, en vertu de la *Loi sur les caisses populaires et les credit unions*, la Société suivante a été dissoute :

| Date of<br>Incorporation:<br>Date de<br>constitution : | Name of Corporation:<br>Nom de la compagnie :                 | Date of<br>Dissolution<br>Date de la<br>dissolution |
|--------------------------------------------------------|---------------------------------------------------------------|-----------------------------------------------------|
| 1955-5-11                                              | Babcock Employees (Galt)<br>Credit Union Limited              | 2000-2-17                                           |
| 1953-1-15                                              | Beachville Community Credit<br>Union Limited                  | 2000-2-17                                           |
| 1954-12-7                                              | Bellville General Hospital<br>Employees' Credit Union Limited | 2000-2-17                                           |

| Date of<br>Incorporation:<br>Date de<br>constitution : | Name of Corporation:<br>Nom de la compagnie :                  | Date of<br>Dissolution<br>Date de la<br>dissolution |
|--------------------------------------------------------|----------------------------------------------------------------|-----------------------------------------------------|
| 1955-6-30                                              | Double B (Galt)<br>Credit Union Limited                        | 2000-2-17                                           |
| 1954-6-29                                              | Loblaws Employees (Toronto)<br>Credit Union Limited            | 2000-2-17                                           |
| 1945-5-11                                              | North Neebing Credit Union<br>Limited                          | 2000-2-17                                           |
| 1963-12-2                                              | Procor Employees (Oakville)<br>Credit Union Limited            | 2000-2-17                                           |
| 1946-10-31                                             | Proctor & Gamble Employees'<br>(Ontario) Credit Union Limited  | 2000-2-17                                           |
| 1957-1-4                                               | Provincial Civil Servants<br>(Kemptville) Credit Union Limited | 2000-2-17                                           |
| 1943-1-8                                               | Sarnia (Dominion) Civil Servants<br>Credit Union Limited       | 2000-2-17                                           |
| 1954-1-21                                              | St. Eugene's Parish (Hamilton)<br>Credit Union Limited         | 2000-2-17                                           |
| 1956-2-9                                               | Wybro Windsor Credit<br>Union Limited                          | 2000-2-17                                           |

10/00 GRANT SWANSON,  
Director, Licensing and  
Enforcement Division,  
by delegated authority from  
Dina Palozzi  
Superintendent of Financial Services.  
Directeur, Division de la délivrance des  
permis et de l'application des mesures  
législatives,  
en vertu de pouvoirs délégués par  
Dina Palozzi  
surintendante des services financiers.

### Ontario Securities Commission Commission des valeurs mobilières de l'Ontario

#### NATIONAL INSTRUMENT 62-101 CONTROL BLOCK DISTRIBUTION ISSUES

#### PART 1 DEFINITIONS

##### 1.1 Definitions - In this Instrument

"control block distribution" means a trade to which the provisions of securities legislation listed in Appendix A apply; and

"information circular requirement" means the requirement, under some circumstances, to deliver an information circular under Policy Statement Q-12 Secondary Distribution through Solicitations under the *Securities Act* (Quebec).

##### 1.2 Interpretation - Terms defined or interpreted in National Instrument 62-103 The Early Warning System and Related

Take-over Bid and Insider Reporting Issues and used in this Instrument have the respective meanings ascribed to them in National Instrument 62-103.

## PART 2 PROSPECTUS EXEMPTION

### 2.1 Prospectus Exemption

- (1) The prospectus requirement, and in Quebec only, the information circular requirement, does not apply to a control block distribution of securities issued by a reporting issuer made by an eligible institutional investor if
  - (a) the eligible institutional investor
    - (i) has filed the reports required under the early warning requirements or Part 4 of National Instrument 62-103 for the reporting issuer in connection with the current securityholding percentage of the eligible institutional investor in classes of voting and equity securities of the reporting issuer,
    - (ii) does not have knowledge of any material fact or material change with respect to the reporting issuer that has not been generally disclosed,
    - (iii) does not receive in the ordinary course of its business and investment activities knowledge of any material fact or material change with respect to the reporting issuer that has not been generally disclosed, and
    - (iv) either alone or together with any joint actors, does not possess effective control of the reporting issuer;
  - (b) there are no directors or officers of the reporting issuer who were, or could reasonably be seen to have been, selected, nominated or designated by the eligible institutional investor or any joint actor;
  - (c) the control block distribution is made in the ordinary course of business or investment activity of the eligible institutional investor;
  - (d) if the trade was not a control block distribution, the securities would not be subject to any requirements of securities legislation requiring them to be held for a specified period of time; and
  - (e) no unusual effort is made to prepare the market or to create a demand for the securities and no extraordinary commission or consideration is paid in respect of the control block distribution.
- (2) An eligible institutional investor that makes a distribution in reliance on subsection (1) shall file a letter within 10 days after the distribution that describes the date and size of the distribution, the market on which it was made and the price at which the securities being distributed were sold.

### 2.2 Pledges

- (1) For purposes of a distribution of securities by a pledgee, the period of time referred to in the provision of securities legislation set out in Appendix B is considered to commence on the date that the pledgor acquired the securities being distributed.
- (2) If a pledgee is distributing securities, then for the purposes of the provisions of securities legislation set out in Appendix C

- (a) a reference to a “seller” or “vendor” shall be construed as a reference to the pledgee; and
- (b) the pledgee shall be considered to have held the securities being distributed for the applicable time period provided for in that provision.

## PART 3 EXEMPTION

### 3.1 Exemption

- (1) The regulator or the securities regulatory authority may grant an exemption to this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario only the regulator may grant such an exemption.

## PART 4 EFFECTIVE DATE

- 4.1 **Effective Date** - This Instrument comes into force on March 15, 2000.

## NATIONAL INSTRUMENT 62-101 APPENDIX A CONTROL BLOCK DISTRIBUTIONS

| JURISDICTION         | SECURITIES LEGISLATION REFERENCE                                                                                                                            |
|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ALBERTA              | Clause 1(f)(iii) of the <i>Securities Act</i> (Alberta)                                                                                                     |
| BRITISH COLUMBIA     | Paragraph (c) of the definition of “distribution” contained in subsection 1(1) of the <i>Securities Act</i> (British Columbia)                              |
| MANITOBA             | Paragraph 1(b) of the definition of “primary distribution to the public” contained in subsection 1(1) of the <i>Securities Act</i> (Manitoba)               |
| NEW BRUNSWICK        | Paragraph (b) of the definition of “primary distribution to the public” contained in section 1 of the <i>Security Frauds Prevention Act</i> (New Brunswick) |
| NEWFOUNDLAND         | Clause 2(1)(l)(iii) of the <i>Securities Act</i> (Newfoundland)                                                                                             |
| NOVA SCOTIA          | Clause 2(1)(l)(iii) of the <i>Securities Act</i> (Nova Scotia)                                                                                              |
| ONTARIO              | Paragraph (c) of the definition of “distribution” contained in subsection 1(1) of the <i>Securities Act</i> (Ontario)                                       |
| PRINCE EDWARD ISLAND | Clause 1(b.1)(iii) of the <i>Securities Act</i> (Prince Edward Island)                                                                                      |
| QUEBEC               | Policy Statement Q-12 Secondary Distribution through Solicitation under the <i>Securities Act</i> (Quebec)                                                  |
| SASKATCHEWAN         | Subclause 2(1)(r)(iii) of <i>The Securities Act</i> , 1988 (Saskatchewan)                                                                                   |

**NATIONAL INSTRUMENT 62-101  
APPENDIX B**

| <b>JURISDICTION</b> | <b>SECURITIES LEGISLATION<br/>REFERENCE</b>                                |
|---------------------|----------------------------------------------------------------------------|
| ALBERTA             | Subparagraph 112(1)(d)(iii) of the <i>Securities Act</i> (Alberta)         |
| BRITISH COLUMBIA    | Subparagraph 128(d)(iii) of the <i>Securities Rules</i> (British Columbia) |
| NEWFOUNDLAND        | Subsection 73(18) of the <i>Securities Act</i> (Newfoundland)              |
| ONTARIO             | Subsection 3.11(1) of Rule 45-501 Exempt Distributions                     |
| SASKATCHEWAN        | Subclause 81(10)(b)(iii) of <i>The Securities Act, 1988</i> (Saskatchewan) |

**NATIONAL INSTRUMENT 62-101  
APPENDIX C**

| <b>JURISDICTION</b> | <b>SECURITIES LEGISLATION<br/>REFERENCE</b>                               |
|---------------------|---------------------------------------------------------------------------|
| NEWFOUNDLAND        | Subsection 73(19) of the <i>Securities Act</i> (Newfoundland)             |
| ONTARIO             | Subsection 3.11(2) of Rule 45-501 Exempt Distributions                    |
| SASKATCHEWAN        | Subclause 81(10)(b)(iv) of <i>The Securities Act, 1988</i> (Saskatchewan) |

**NATIONAL INSTRUMENT 62-101  
CONTROL BLOCK DISTRIBUTION ISSUES**

**TABLE OF CONTENTS**

| <b>PART</b> | <b>TITLE</b>             | <b>PAGE</b> |
|-------------|--------------------------|-------------|
| PART 1      | DEFINITIONS              | 396         |
|             | 1.1 Definitions          | 396         |
|             | 1.2 Interpretation       | 396         |
| PART 2      | PROSPECTUS EXEMPTION     | 397         |
|             | 2.1 Prospectus Exemption | 397         |
|             | 2.2 Pledges              | 397         |
| PART 3      | EXEMPTION                | 397         |
|             | 3.1 Exemption            | 397         |
| PART 4      | EFFECTIVE DATE           | 397         |
|             | 4.1 Effective Date       | 397         |

**NATIONAL INSTRUMENT 62-102  
DISCLOSURE OF OUTSTANDING SHARE DATA**

**PART 1 INTERPRETATION**

- 1.1 Interpretation** - Terms defined or interpreted in National Instrument 62-103 The Early Warning System and Related Take-over Bid and Insider Reporting Issues and used in this Instrument have the respective meanings ascribed to them in National Instrument 62-103.

**PART 2 DISCLOSURE OF OUTSTANDING SHARE DATA**

**2.1 Disclosure of Outstanding Share Data**

- (1) A reporting issuer shall include the disclosure required by this section in
  - (a) its annual and interim financial statements filed under securities legislation, or
  - (b) a supplement to each of its annual and interim financial statements filed under securities legislation, if the supplement is filed and sent to security-holders with the applicable annual and interim financial statements.
- (2) The disclosure prepared by a reporting issuer under this section shall be prepared as of the latest practicable date and shall include disclosure as of that date.
- (3) The disclosure prepared by a reporting issuer under this section shall consist of the designation and number or principal amount of
  - (a) each class and series of voting or equity securities of the reporting issuer that are outstanding;
  - (b) each class and series of securities of the reporting issuer that are outstanding and that are convertible into, or exercisable or exchangeable for, voting or equity securities of the reporting issuer; and
  - (c) to the extent determinable, each class and series of voting or equity securities of the reporting issuer into which, or for which, any outstanding securities of the reporting issuer are convertible, exercisable or exchangeable.

- 2.2 Relief** - Section 2.1 does not apply to a reporting issuer that is not incorporated, continued or organized under the laws of Canada or a jurisdiction if

- (a) both
  - (i) the number of voting or equity securities of each class of the reporting issuer held by registered or beneficial security holders in Canada is less than 10 per cent of the outstanding securities of the class, and
  - (ii) the reporting issuer publicly reports outstanding share information periodically; or
- (b) the reporting issuer
  - (i) has a class of securities registered under section 12(b) or 12(g) of the 1934 Act or is required to file reports under section 15(d) of the 1934 Act,
  - (ii) reports outstanding share information in compliance with the 1934 Act, and
  - (iii) files a copy of all filings made under the 1934 Act promptly after their filing with the SEC.

**PART 3 EXEMPTION**

**3.1 Exemption**

- (1) The regulator or the securities regulatory authority may grant an exemption to this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario only the regulator may grant such an exemption.

**PART 4 EFFECTIVE DATE**

- 4.1 Effective Date** - This Instrument comes into force on March 15, 2000.

**NATIONAL INSTRUMENT 62-102  
DISCLOSURE OF OUTSTANDING SHARE DATA**

**TABLE OF CONTENTS**

| <b>PART</b> | <b>TITLE</b>                             | <b>PAGE</b> |
|-------------|------------------------------------------|-------------|
| PART 1      | INTERPRETATION                           | 398         |
|             | 1.1 Interpretation                       | 398         |
| PART 2      | DISCLOSURE OF OUTSTANDING SHARE DATA     | 398         |
|             | 2.1 Disclosure of Outstanding Share Data | 398         |
|             | 2.2 Relief                               | 398         |
| PART 3      | EXEMPTION                                | 398         |
|             | 3.1 Exemption                            | 398         |
| PART 4      | EFFECTIVE DATE                           | 399         |
|             | 4.1 Effective Date                       | 399         |

**NATIONAL INSTRUMENT 62-103  
THE EARLY WARNING SYSTEM AND RELATED  
TAKE-OVER BID AND INSIDER REPORTING ISSUES**

**PART 1 DEFINITIONS AND INTERPRETATION****1.1 Definitions**

- (1) In this Instrument

“acquisition announcement provisions” means the requirement in securities legislation for an offeror to issue a news release if, during a formal bid for voting or equity securities of a reporting issuer by an entity other than the offeror, the offeror acquires ownership of, or control over, securities of the class subject to the bid that, together with the offeror’s securities of the class, constitute an amount equal to or greater than the amount specified in securities legislation;

“acting jointly or in concert” has the meaning ascribed to that phrase in securities legislation, and, when used in connection with an entity, has the meaning ascribed in securities legislation as if the term “entity” replaced the term “person or company” or similar term;

“applicable definitions” means

- (a) the definitions of “take-over bid” and “offeror’s securities” in the take-over provisions, and

- (b) the control block distribution definition;

“applicable provisions” means

- (a) the early warning requirements,

- (b) Part 4,

- (c) the moratorium provisions,

- (d) the insider reporting requirement,

- (e) the acquisition announcement provisions, and

- (f) section 2.1 of National Instrument 62-101 Control Block Distribution Issues, and

- (g) in Quebec, Policy Statement Q-12 Secondary Distribution through Solicitations under the *Securities Act* (Quebec);

“business unit” means a legal entity or part of a legal entity, or a combination of legal entities or parts of legal entities, that engage in a distinct business or investment activity separately from other businesses and investment activities of the relevant entities;

“class” means, in relation to a security, a class or series of a class of the security;

“control” means, for a security

- (a) when used in connection with the insider reporting requirements, the take-over bid requirements and related definitions and the early warning requirements, the power to exercise control or direction over the security, or similar term or expression used in securities legislation; and

- (b) when used in connection with the control block distribution definition, holding the security, or similar term or expression used in securities legislation;

“control block distribution definition” means the provisions of securities legislation listed in Appendix A;

“early warning requirements” means the provisions of securities legislation listed in Appendix B;

“effective control” means, for a reporting issuer, the control in fact of the reporting issuer by an entity through the ownership of, or control over, voting securities of the reporting issuer, other than securities held by way of security only;

“eligible institutional investor” means

- (a) a financial institution,

- (b) a pension fund that is regulated by either the Office of the Superintendent of Financial Institutions (Canada), a pension commission of a jurisdiction, or a similar regulatory authority,

- (c) a mutual fund that is not a reporting issuer,

- (d) an investment manager in relation to securities over which it exercises discretion to vote, acquire or dispose without the express consent of the beneficial owner, subject to applicable legal requirements, general investment policies, guidelines, objectives or restrictions, or

- (e) an entity referred to in clauses (D) or (F) of Rule 13d-1(b)(1)(ii) under the 1934 Act;

“entity” means a person or company or a business unit;

“equity security” has the meaning ascribed to that term in securities legislation;

“financial institution” means

- (a) a Canadian financial institution,

- (b) an entity that is engaged in financial services activities and that is supervised and regulated under the banking, insurance, trust or similar laws of, and incorporated in, the United States of America or Japan, or

- (c) a credit institution, within the meaning of European Union Directive 77/780/EEC, whose home member state for purposes of that European Union Directive is France, Germany, Italy or the United Kingdom of Great Britain and Northern Ireland;

“formal bid”

- (a) has the meaning ascribed to that term in securities legislation, and
- (b) in Quebec only, means a take-over bid or an issuer bid made in accordance with Chapter III of Title IV, or section 119, of the *Securities Act* (Quebec);

“investment manager” means an entity that

- (a) either
- (i) is registered or licensed to provide investment counselling, portfolio management or similar advisory services in respect of securities, or is exempt from the requirement to be so registered or licensed, under the securities laws of a jurisdiction or of Japan or under the Investment Advisers Act of 1940 of the United States of America, as amended, or
- (ii) is subject to European Union Directive 93/22 on investment services in the securities field, and provides the portfolio management services referred to in Section A(3) of the Annex to that Directive, and whose home member state is France, Germany, Italy or the United Kingdom of Great Britain and Northern Ireland, and
- (b) provides the services referred to in paragraph (a) for valuable consideration under a contractual arrangement;

“joint actor” means, in relation to an entity and a security, another entity acting jointly or in concert with the entity in connection with the ownership of, or control over, the security;

“moratorium provisions” means the provisions of securities legislation listed in Appendix C;

“news release” includes a press release;

“offeror”

- (a) has the meaning ascribed to that term in securities legislation, and
- (b) in Quebec only, means a person or company making a take-over bid or issuer bid or an acquisition subject to sections 147.11, 147.12, 147.15 and 147.16 of the *Securities Act* (Quebec);

“offeror’s securities”

- (a) has the meaning ascribed to that term in securities legislation, and
- (b) in Quebec only, means the securities included in the calculation of an offeror’s interest under sections 111 and 112 of the *Securities Act* (Quebec);

“ownership” means, in relation to a security, the beneficial ownership of the security, and “owns”, “owned” and similar words have corresponding meanings;

“pledgee” includes a holder of any type of security interest;

“portfolio adviser” means an entity that provides investment advice or portfolio management services to, or for, an investment fund;

“private mutual fund”

- (a) has the meaning ascribed to that term in securities legislation; and
- (b) in Quebec only, means a mutual fund that is
- (i) operated as an investment club where the conditions in subsection 3(12) of the *Securities Act* (Quebec) are met; or
- (ii) referred to in subsection 3(11) of the *Securities Act* (Quebec).

“securityholding percentage” means, in relation to an entity and a class of securities, the percentage of the outstanding securities of the class owned, together with the percentage controlled by the entity, determined in accordance with the provisions of applicable securities legislation listed in Appendix D and after application of any aggregation relief available under Part 5 that is relied on by the entity;

“take-over provisions” means the provisions in securities legislation that regulate take-over bids and issuer bids; and

“underwriting period” means, for an entity acting as an underwriter of securities, the period commencing from the date of execution of an underwriting agreement or commitment until

- (a) for securities acquired by the entity upon the exercise of an over-allotment option, four business days after the acquisition of those securities, and
- (b) for all other securities, the earlier of
- (i) the expiration of 40 days after the date of the closing of the purchase of the securities, and
- (ii) the date of the completion of the distribution by the underwriter of the securities.

**1.2 Deemed Effective Control** - For the purposes of the definition of “effective control”, an entity that, either alone or together with one or more joint actors, owns or controls voting securities carrying more than 30 percent of the votes attached to all of the outstanding voting securities of a reporting issuer shall, in the absence of evidence to the contrary, be deemed to possess effective control over the reporting issuer.

## PART 2 GENERAL RELIANCE AND REPORTING PROVISIONS

### 2.1 Reliance on Reported Outstanding Shares

- (1) Subject to subsection (2), in determining its securityholding percentage in a class of securities for the purposes of the early warning requirements or Part 4, an entity may rely upon information most recently provided by the issuer of the securities in a material change report or under section 2.1 of National Instrument 62-102 Disclosure of Outstanding Share Data, whichever contains the most recent relevant information.
- (2) Subsection (1) does not apply if the entity has knowledge both

- (a) that the information filed is inaccurate or has changed; and
- (b) of the correct information.

**2.2 Copies of News Release and Report** - An entity that files a news release and report under the early warning requirements, or a report under Part 4, in relation to a reporting issuer shall immediately send a copy of each filing to the reporting issuer.

**2.3 No Duplication of News Releases or Reports**

- (1) An entity that is required to issue a news release under both the early warning requirements and the acquisition announcement provisions is exempt from the requirement to issue the news release contained in the provision requiring the later release if
  - (a) the news release is filed under the provision with the earlier reporting requirement; and
  - (b) the facts required to be contained in the two news releases are identical.
- (2) An entity that is required to file a report under the acquisition announcement provisions and either the early warning requirements or Part 4 is exempt from the requirement to file the report under the provision requiring the later report if
  - (a) the report is filed under the provision requiring the earlier report; and
  - (b) the facts required to be contained in the two reports are identical.

**PART 3 EARLY WARNING REQUIREMENTS**

**3.1 Contents of News Releases and Reports**

- (1) A news release required under the early warning requirements shall contain the information required by Appendix E.
- (2) Despite subsection (1), a news release required under the early warning requirements may omit the information otherwise required by paragraphs 1(d), (g), (h) and (i) of Appendix E, and paragraph 1(j) of Appendix E to the extent that the information relates to paragraphs 1(d), (g), (h) and (i), if
  - (a) the omitted information is included in the corresponding report required by securities legislation; and
  - (b) the news release indicates the name and telephone number of an individual to contact in order to obtain a copy of the report.
- (3) The offeror shall send a copy of the report referred to in paragraph (2)(a) promptly to any entity requesting it.

**3.2 Filing Relief for Joint Actors** - The early warning requirements and the acquisition announcement provisions do not apply to a joint actor of an offeror in connection with the obligation to make a specific filing of a news release or report if

- (a) the offeror files a news release or report at the time that the joint actor would be required to file; and
- (b) the news release or report filed discloses the information concerning the joint actor required by securities legislation.

**3.3 Exemption from Early Warning Requirements for Mutual Fund Securities** - The early warning requirements do not apply in connection with the ownership or control of securities issued by a mutual fund to which National Instrument 81-102 Mutual Funds applies.

**PART 4 ALTERNATIVE MONTHLY REPORTING SYSTEM**

**4.1 Exemption from the Early Warning Requirements** - The early warning requirements do not apply to an eligible institutional investor for a reporting issuer if the eligible institutional investor

- (a) is not disqualified by section 4.2 from filing reports under this Part for the reporting issuer; and
- (b) either
  - (i) intends to file reports under this Part for the reporting issuer, if no reports are yet required to be filed; or
  - (ii) is not in arrears of filing reports under this Part for the reporting issuer, if a report has been required by this Part to be filed.

**4.2 Disqualification** - An eligible institutional investor shall not file reports under this Part for a reporting issuer if the eligible institutional investor, or a joint actor

- (a) makes or intends to make a formal bid for securities of the reporting issuer; or
- (b) proposes or intends to propose a reorganization, amalgamation, merger, arrangement or similar business combination with a reporting issuer that if completed would reasonably be expected to result in the eligible institutional investor, either alone or together with any joint actors, possessing effective control over the reporting issuer or a successor to all or a part of the business of the reporting issuer.

**4.3 Reporting and Filing Requirements**

- (1) If an eligible institutional investor is relying on the exemption in section 4.1 for a reporting issuer and becomes disqualified under section 4.2 from filing, or no longer intends to file, reports under this Part for the reporting issuer, the eligible institutional investor shall
  - (a) immediately issue and file a news release; and
  - (b) within two business days after filing the news release, file a report.
- (2) The news release and report required by subsection (1) shall contain the information required by Appendix F.
- (3) An eligible institutional investor that is required to file a report under subsection (1) for a reporting issuer is not exempt from the early warning requirements for that reporting issuer as of the date on which the news release required by subsection (1) is required to be filed.
- (4) An eligible institutional investor that files reports under this Part for a reporting issuer and that controls securities of the reporting issuer that are owned by another entity shall
  - (a) on request by the entity, promptly advise the entity of the number of securities held on its behalf; and
  - (b) if the eligible institutional investor has reason to believe that the securityholding percentage of the

entity in a class of voting or equity securities of the reporting issuer equals 10 percent or more, promptly advise the entity of the number of securities held on its behalf.

**4.4 Restrictions on Acquisitions** - An eligible institutional investor that has become disqualified under section 4.2 from filing reports under this Part for a reporting issuer, if the securityholding percentage of the eligible institutional investor in a class of voting or equity securities of the reporting issuer is 10 percent or more, shall not acquire ownership of, or control over, any additional securities of the reporting issuer for the period

- (a) starting at the time that the news release referred to in paragraph 4.3(1)(a) is required to be filed; and
- (b) ending 10 days after the news release is filed.

**4.5 Filing Obligations under this Part** - In order to rely on the exemption provided by section 4.1, an eligible institutional investor shall file a report

- (a) within 10 days after the end of the month in which the eligible institutional investor elected to begin to file reports for the reporting issuer under this Part, if the securityholding percentage of the eligible institutional investor in a class of voting or equity securities of the reporting issuer at the end of the month is 10 percent or more;
- (b) within 10 days after the end of the month in which the securityholding percentage of the eligible institutional investor in a class of voting or equity securities of the reporting issuer, as at the end of the month, increased to 10 percent or more;
- (c) within 10 days after the end of the month in which the securityholding percentage of the eligible institutional investor in a class of voting or equity securities of the reporting issuer, as at the end of the month, increased or decreased past thresholds that are products of whole numbers multiplied by 2.5 percent of the outstanding securities of the class and that are in excess of 10 percent of the outstanding securities of the class; and
- (d) within 10 days after the end of the month in which the securityholding percentage of the eligible institutional investor in a class of voting or equity securities of the reporting issuer, as at the end of the month, decreased to less than 10 percent.

**4.6 Change Reports** - In addition to the filing requirements of section 4.5, an eligible institutional investor shall file a report within 10 days after the end of the month in which there has been a change in a material fact contained in the report of the eligible institutional investor most recently filed under this Part.

**4.7 Contents of Reports**

- (1) A report filed under this Part shall contain the information required by Appendix G.
- (2) Despite subsection (1), a report filed under paragraph 4.5(d) may be limited to
  - (a) the name and address of the eligible institutional investor;
  - (b) the name of the reporting issuer and the designation and number or principal amount of voting or equity securities of the reporting issuer in respect of which the report is being filed and the securityholding percentage of the eligible institutional investor in the class of securities; and

- (c) a statement that the eligible institutional investor is eligible to file reports under this Part.

**4.8 Exemptions** - The requirement to file a report under this Part does not apply to a joint actor with an eligible institutional investor in connection with a specific filing if

- (a) the eligible institutional investor files a report under this Part at the time that the joint actor is required to file; and
- (b) the report discloses the information concerning the joint actor required by this Instrument.

## PART 5 AGGREGATION RELIEF

**5.1 Separate Business Units** - An eligible institutional investor, or an affiliate or associate of an eligible institutional investor, that conducts business or investment activities through business units may, for the purposes of the applicable provisions and securities legislation related to the applicable definitions, treat securities that are owned or controlled through a business unit, or securities into which those securities are convertible, exercisable or exchangeable, separately from securities owned or controlled through any other of its business units if

- (a) decisions on each of the acquisition, disposition, holding or voting of the securities owned or controlled by a business unit are made in all circumstances by that business unit;
- (b) the business unit is not a joint actor with any other business unit with respect to the securities, determined without regard to the presumption in securities legislation that an associate or affiliate of an offeror is presumed to be acting jointly or in concert with the offeror;
- (c) no entity that makes, advises on, participates in the formulation of, or exercises influence over, decisions on the acquisition, disposition, holding or voting of securities owned or controlled by or on behalf of a business unit also makes, advises on, participates in the formulation of or exercises influence over, decisions on the acquisition, disposition, holding or voting of securities owned or controlled by or on behalf of any other business unit, except for the purposes of
  - (i) preparing research reports,
  - (ii) monitoring or ensuring compliance with regulatory requirements, or
  - (iii) setting, monitoring or ensuring compliance with general investment policies, guidelines, objectives or restrictions;
- (d) the eligible institutional investor or affiliate or associate has reasonable grounds for believing that each business unit complies with the applicable provisions and securities legislation related to the applicable definitions in connection with the securities owned or controlled by the business unit;
- (e) the eligible institutional investor or affiliate or associate has taken reasonable steps to ensure that each business unit complies with the requirements of this Part; and
- (f) the eligible institutional investor or affiliate or associate complies with section 5.3.

**5.2 Securities Held by an Investment Fund** - An eligible institutional investor, or an affiliate or associate of an eligible institutional investor, may, for the purposes of the applicable provisions and securities legislation related to the applicable

definitions, treat securities owned or controlled by an investment fund over which the eligible institutional investor, affiliate or associate exercises or shares control, or securities into which those securities are convertible, exercisable or exchangeable, separately from other securities owned or controlled by the eligible institutional investor or affiliate or associate if

- (a) the investment fund is not a private mutual fund;
- (b) a portfolio adviser manages the investment fund on behalf of the eligible institutional investor under a written agreement;
- (c) the portfolio adviser has been identified as managing the investment fund in a document provided to an investor;
- (d) none of the eligible institutional investor, its affiliates or associates, or a director, officer, partner, employee or agent of the eligible institutional investor or its affiliates or associates, makes, advises on, participates in the formulation of, or exercises influence over, decisions made by the portfolio adviser on the acquisition, disposition, holding or voting of securities, except for the purposes of
  - (i) preparing research reports,
  - (ii) monitoring or ensuring compliance with regulatory requirements, or
  - (iii) setting, monitoring or ensuring compliance with general investment policies, guidelines, objectives or restrictions;
- (e) the eligible institutional investor or affiliate or associate has reasonable grounds for believing that the portfolio adviser complies with the applicable provisions and securities legislation related to the applicable definitions in connection with securities owned or controlled by the investment fund;
- (f) the portfolio adviser neither controls nor is controlled by the eligible institutional investor or an affiliate or associate of the eligible institutional investor; and
- (g) the eligible institutional investor or affiliate or associate complies with section 5.3.

### 5.3 Reporting and Record Keeping

- (1) In addition to the requirements of sections 5.1 and 5.2, in order to rely on section 5.1 or 5.2, an eligible institutional investor or an affiliate or associate shall indicate in any document released or filed under the applicable provisions or securities legislation related to the applicable definitions
  - (a) its reliance on either section 5.1 or 5.2;
  - (b) the identity of the business units or investment funds for which ownership and control of the securities has been disclosed; and
  - (c) the fact that securities owned or controlled by other business units or investment funds have not been, or may not have been, disclosed.
- (2) An eligible institutional investor or affiliate or associate shall maintain records of the details concerning
  - (a) business units of the entity that are treated separately, by reason of section 5.1, for the purposes of compliance with the applicable provisions and securities legislation related to the applicable definitions; and

- (b) investment funds whose ownership of, or control over, securities are treated separately, by reason of section 5.2, for the purposes of compliance with the applicable provisions and securities legislation related to the applicable definitions.

- 5.4 No Requirement to Satisfy Insider Reporting Requirement** - If an eligible institutional investor, or an affiliate or associate of an eligible institutional investor, is relying on this Part so that it is not subject to the insider reporting requirement for a reporting issuer, then every director or senior officer of the eligible institutional investor, or of the affiliate or associate of an eligible institutional investor, who is an insider of the reporting issuer solely as a result of being a director or senior officer of the eligible institutional investor, or the affiliate or associate of an eligible institutional investor, is not subject to the insider reporting requirement for the reporting issuer.

## PART 6 ISSUER ACTIONS

### 6.1 Issuer Actions

- (1) An entity is exempt from the early warning requirements and the obligation to report under Part 4 in connection with an increase in the securityholding percentage of the entity in a class of securities of a reporting issuer that arises without any action being taken by the entity and solely from
  - (a) a reduction in outstanding securities that occurs as a result of redemptions, retractions or other repurchases by the reporting issuer, that affect or are offered to all securityholders of the relevant class; or
  - (b) a transaction effected under National Instrument 32-101 Small Securityholder Selling and Purchase Arrangements.
- (2) An entity is exempt from the early warning requirements and the obligation to report under Part 4 in connection with a decrease in the securityholding percentage of the entity in a class of securities of a reporting issuer that arises without any action being taken by the entity and solely from
  - (a) an increase in outstanding securities that occurs as a result of treasury issuances of securities by the reporting issuer; or
  - (b) a transaction effected under National Instrument 32-101 Small Securityholder Selling and Purchase Arrangements.
- (3) An entity may rely upon an exemption provided by this section in connection with a class of securities only until the entity undertakes any transaction that changes the securityholding percentage of the entity in that class of securities.
- (4) An entity that undertakes a transaction described in subsection (3) shall comply with the early warning requirements or Part 4 in connection with the class of securities referred to in that subsection in a manner that reflects the changes in the securityholding percentage of the entity in that class of securities since the last news release or report made or filed under the early warning requirements or Part 4.

## PART 7 UNDERWRITING EXEMPTION

- 7.1 Underwriting Exemption** - An entity is exempt from the early warning requirements and the obligation to report

under Part 4 in respect of securities owned by the entity in its capacity as underwriter or securities into which those securities are convertible, or exercisable or exchangeable, during the underwriting period, if

- (a) the entity is engaged in the business of an underwriter of securities; and
- (b) the entity or the issuer of the securities has issued and filed a news release that
  - (i) announces the proposed underwriting, and
  - (ii) identifies the reporting issuer and the designation and number or principal amount of the securities underwritten.

## **PART 8 RELIEF FOR PLEDGEEES**

### **8.1 Relief for Pledgrees**

- (1) For securities that are controlled by a person or company as a pledgee, and any securities into which those securities are convertible, exercisable or exchangeable, in either case that are pledged, mortgaged or otherwise encumbered as collateral for a debt under a written pledge agreement and in the ordinary course of the business of the person or company, the person or company is exempt from the applicable provisions, and those securities are not required to be taken into account for the purposes of securities legislation related to the applicable definitions.
- (2) Subsection (1) does not apply at any time that the person or company is legally entitled to dispose of the securities as pledgee for the purpose of applying proceeds of realization in repayment of the secured debt.

### **8.2 Further Relief for *de minimis* Pledgrees**

- (1) Despite subsection 8.1(2), for securities that are controlled by a person or company as a pledgee, and any securities into which those securities are convertible, exercisable or exchangeable, in either case that are or were pledged, mortgaged or otherwise encumbered as collateral for a debt, under a written pledge agreement and in the ordinary course of the business of the person or company, the person or company is exempt from the applicable provisions, and those securities are not required to be taken into account for the purposes of securities legislation related to the applicable definitions, even if the person or company is legally entitled to dispose of the securities as pledgee for the purpose of applying proceeds of realization in repayment of the secured debt, if
  - (a) the principal amount of the debt, together with the principal amount of all other debts of or guaranteed by the same borrower to the person or company, does not exceed \$2,000,000; and
  - (b) the pledged securities, and securities into which the pledged securities are convertible, exercisable or exchangeable, constitute less than 10 percent of a class of voting or equity securities.

- 8.3 Corresponding Insider Reporting Relief** - If a person or company is exempt under section 8.1 or 8.2 from the insider reporting requirement for those securities of a reporting issuer that it controls as pledgee, every director or senior officer of the person or company who is an insider of the reporting issuer solely as a result of being a director or senior officer of the person or company that is an insider of the reporting issuer is exempt from the insider reporting requirement for those securities.

## **PART 9 INSIDER REPORTING EXEMPTION; EARLY WARNING DECREASE REPORTS**

### **9.1 Insider Reporting Exemption; Early Warning Decrease Reports**

- (1) Subject to subsections (3) and (4), an eligible institutional investor is exempt from the insider reporting requirement for a reporting issuer if
  - (a) the eligible institutional investor has filed the report required under the early warning requirements or Part 4 for the reporting issuer in connection with the current securityholding percentage of the eligible institutional investor in the classes of voting and equity securities of the reporting issuer;
  - (b) the eligible institutional investor is not disqualified under section 4.2 from filing reports under Part 4;
  - (c) the eligible institutional investor does not have knowledge of any material fact or material change with respect to the reporting issuer that has not been generally disclosed;
  - (d) the eligible institutional investor does not receive in the ordinary course of its business and investment activities knowledge of any material fact or material change with respect to the reporting issuer that has not been generally disclosed;
  - (e) there are no directors or officers of the reporting issuer who were, or could reasonably be seen to have been, selected, nominated or designated by the eligible institutional investor or any joint actor; and
  - (f) the eligible institutional investor, either alone or together with any joint actors, does not possess effective control of the reporting issuer.
- (2) An eligible institutional investor relying on the exemption in subsection (1) shall maintain records that include the information that, absent this section, would have been required to be included in a report filed under the insider reporting requirement.
- (3) Despite subsection (1), an eligible institutional investor that is filing reports under the early warning requirements for a reporting issuer, and whose securityholding percentage in a class of voting or equity securities of the reporting issuer decreases by two percent or more, may rely upon the exemption contained in subsection (1) for the reporting issuer only if
  - (a) the eligible institutional investor treats the decrease as a change in a material fact for the purposes of securities legislation pertaining to the early warning requirements; or
  - (b) the decrease arose without any action being taken by the eligible institutional investor and solely from an increase in outstanding securities that occurred as a result of treasury issuances of securities by the reporting issuer, and the eligible institutional investor has not undertaken any transaction in respect of the class of securities since the decrease.
- (4) Despite subsection (1), an eligible institutional investor that is an insider of a reporting issuer may not rely upon the exemption contained in subsection (1) if
  - (a) the eligible institutional investor, either alone or with a joint actor or joint actors, purchased in the previous month, directly or indirectly, 50 percent or more of all of the securities of a class that were reported sold on stock exchanges, over-the-counter markets or both in the previous month; or

- (b) the eligible institutional investor, either alone or with a joint actor or joint actors, sold in the previous month, directly or indirectly, 50 percent or more of all of the securities of a class that were reported sold on stock exchanges, over-the-counter markets or both in the previous month.
- (5) If an eligible institutional investor is exempt under subsection (1) from the insider reporting requirement for a reporting issuer, every director or senior officer of the eligible institutional investor who is an insider of the reporting issuer solely as a result of being director or senior officer of the eligible institutional investor is exempt from the insider reporting requirement for the reporting issuer.

## PART 10 MORATORIUM RELIEF

### 10.1 Moratorium Relief

- (1) An entity is exempt from the moratorium provisions in respect of the acquisition of, or offers to acquire, securities, if those acquisitions or offers are made by an investment manager acting on behalf of the entity without the direction or prior knowledge of the entity.
- (2) Subsection (1) does not apply to an investment manager acting as principal.
- (3) An entity is exempt from the moratorium provisions in respect of any acquisitions of, or offers to acquire, securities made solely in its capacity as an approved specialist, or market maker, recognized by a stock exchange or an over-the-counter market that represents a published market for the securities.
- (4) An eligible institutional investor is exempt from the moratorium provisions in respect of securities of a reporting issuer at any time in which
- (a) the eligible institutional investor is using the exemption in section 4.1 in connection with filings relating to securities of that reporting issuer; or
- (b) the eligible institutional investor is subject to the restrictions contained in section 4.4.

## PART 11 EXEMPTIONS

### 11.1 Exemptions

- (1) The regulator or the securities regulatory authority may grant an exemption to this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario only the regulator may grant such an exemption.

## PART 12 EFFECTIVE DATE

- 12.1 Effective Date** - This Instrument comes into force on March 15, 2000.

|                      |                                                                                                                                                             |
|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| BRITISH COLUMBIA     | Paragraph (c) of the definition of “distribution” contained in subsection 1(1) of the <i>Securities Act</i> (British Columbia)                              |
| MANITOBA             | Paragraph 1(b) of the definition of “primary distribution to the public” contained in subsection 1(1) of the <i>Securities Act</i> (Manitoba)               |
| NEW BRUNSWICK        | Paragraph (b) of the definition of “primary distribution to the public” contained in section 1 of the <i>Security Frauds Prevention Act</i> (New Brunswick) |
| NEWFOUNDLAND         | Clause 2(1)(l)(iii) of the <i>Securities Act</i> (Newfoundland)                                                                                             |
| NOVA SCOTIA          | Clause 2(1)(l)(iii) of the <i>Securities Act</i> (Nova Scotia)                                                                                              |
| ONTARIO              | Paragraph (c) of the definition of “distribution” contained in subsection 1(1) of the <i>Securities Act</i> (Ontario)                                       |
| PRINCE EDWARD ISLAND | Clause 1(b.1)(iii) of the <i>Securities Act</i> (Prince Edward Island)                                                                                      |
| SASKATCHEWAN         | Subclause 2(1)(r)(iii) of <i>The Securities Act, 1988</i> (Saskatchewan)                                                                                    |

## NATIONAL INSTRUMENT 62-103 APPENDIX B EARLY WARNING REQUIREMENTS

| JURISDICTION     | SECURITIES LEGISLATION REFERENCE                                                |
|------------------|---------------------------------------------------------------------------------|
| ALBERTA          | Subsections 141(1), 141(2), and 141(3) of the <i>Securities Act</i> (Alberta)   |
| BRITISH COLUMBIA | Subsections 111(1) and 111(2) of the <i>Securities Act</i> (British Columbia)   |
| MANITOBA         | Subsections 92(1) and 92(2) of the <i>Securities Act</i> (Manitoba)             |
| NEWFOUNDLAND     | Subsections 102(1) and 102(2) of the <i>Securities Act</i> (Newfoundland)       |
| NOVA SCOTIA      | Subsections 107(1) and 107(2) of the <i>Securities Act</i> (Nova Scotia)        |
| ONTARIO          | Subsections 101(1) and 101(2) of the <i>Securities Act</i> (Ontario)            |
| QUEBEC           | Sections 147.11 and 147.12 of the <i>Securities Act</i> (Quebec)                |
| SASKATCHEWAN     | Subsections 110(1) and 110(2) of <i>The Securities Act, 1988</i> (Saskatchewan) |

## NATIONAL INSTRUMENT 62-103 APPENDIX A CONTROL BLOCK DISTRIBUTION DEFINITION

| JURISDICTION | SECURITIES LEGISLATION REFERENCE                        |
|--------------|---------------------------------------------------------|
| ALBERTA      | Clause 1(f)(iii) of the <i>Securities Act</i> (Alberta) |

## NATIONAL INSTRUMENT 62-103 APPENDIX C MORATORIUM PROVISIONS

| JURISDICTION | SECURITIES LEGISLATION REFERENCE                         |
|--------------|----------------------------------------------------------|
| ALBERTA      | Subsection 141(4) of the <i>Securities Act</i> (Alberta) |

|                  |                                                                     |
|------------------|---------------------------------------------------------------------|
| BRITISH COLUMBIA | Subsection 111(3) of the <i>Securities Act</i> (British Columbia)   |
| MANITOBA         | Subsection 92(3) of the <i>Securities Act</i> (Manitoba)            |
| NEWFOUNDLAND     | Subsection 102(3) of the <i>Securities Act</i> (Newfoundland)       |
| NOVA SCOTIA      | Subsection 107(3) of the <i>Securities Act</i> (Nova Scotia)        |
| ONTARIO          | Subsection 101(3) of the <i>Securities Act</i> (Ontario)            |
| QUEBEC           | Section 147.14 of the <i>Securities Act</i> (Quebec)                |
| SASKATCHEWAN     | Subsection 110(3) of <i>The Securities Act, 1988</i> (Saskatchewan) |

#### NATIONAL INSTRUMENT 62-103

##### APPENDIX D

#### SECURITY OWNERSHIP AND CONTROL PROVISIONS

| JURISDICTION<br>REFERENCE | SECURITIES LEGISLATION                                                                                            |
|---------------------------|-------------------------------------------------------------------------------------------------------------------|
| ALBERTA                   | Sections 5 and 6, subsections 131(4), 131(5) and 131(6), and section 131.1 of the <i>Securities Act</i> (Alberta) |
| BRITISH COLUMBIA          | Subsection 1(4) and sections 95 and 96 of the <i>Securities Act</i> (British Columbia)                            |
| MANITOBA                  | Subsections 1(6) and 1(7) and sections 81 and 82 of the <i>Securities Act</i> (Manitoba)                          |
| NEWFOUNDLAND              | Subsections 2(5) and 2(6) and sections 91 and 92 of the <i>Securities Act</i> (Newfoundland)                      |
| NOVA SCOTIA               | Subsections 2(5) and 2(6) and sections 96 and 97 of the <i>Securities Act</i> (Nova Scotia)                       |
| ONTARIO                   | Subsections 1(5) and 1(6) and sections 90 and 91 of the <i>Securities Act</i> (Ontario)                           |
| QUEBEC                    | Sections 111 and 112 of the <i>Securities Act</i> (Quebec)                                                        |
| SASKATCHEWAN              | Subsections 2(5) and 2(6) and sections 99 and 100 of <i>The Securities Act, 1988</i> (Saskatchewan)               |

#### APPENDIX E

#### REQUIRED DISCLOSURE

#### REQUIRED DISCLOSURE IN NEWS RELEASE FILED UNDER EARLY WARNING REQUIREMENTS

- For each class of securities involved in a transaction or occurrence giving rise to an obligation to file a news release under the early warning requirements and, if applicable, for each class of voting or equity securities into which the securities of the class are convertible, exercisable or exchangeable, the news release shall include:

- the name and address of the offeror;

- the designation and number or principal amount of securities and the offeror's securityholding percentage in the class of securities of which the offeror acquired ownership or control in the transaction or occurrence giving rise to the obligation to file the news release, and whether it was ownership or control that was acquired in those circumstances;
- the designation and number or principal amount of securities and the offeror's securityholding percentage in the class of securities immediately after the transaction or occurrence giving rise to obligation to file the news release;
- the designation and number or principal amount of securities and the percentage of outstanding securities of the class of securities referred to in paragraph (c) over which
  - the offeror, either alone or together with any joint actors, has ownership and control,
  - the offeror, either alone or together with any joint actors, has ownership but control is held by other persons or companies other than the offeror or any joint actor, and
  - the offeror, either alone or together with any joint actors, has exclusive or shared control but does not have ownership;
- the name of the market in which the transaction or occurrence that gave rise to the news release took place;
- the purpose of the offeror and any joint actors in effecting the transaction or occurrence that gave rise to the news release, including any future intention to acquire ownership of, or control over, additional securities of the reporting issuer;
- the general nature and the material terms of any agreement, other than lending arrangements, with respect to securities of the reporting issuer entered into by the offeror, or any joint actor, and the issuer of the securities or any other entity in connection with the transaction or occurrence giving rise to the news release, including agreements with respect to the acquisition, holding, disposition or voting of any of the securities;
- the names of any joint actors in connection with the disclosure required by this Appendix;
- in the case of a transaction or occurrence that did not take place on a stock exchange or other market that represents a published market for the securities, including an issuance from treasury, the nature and value of the consideration paid by the offeror; and
- if applicable, a description of any change in any material fact set out in a previous report by the entity under the early warning requirements or Part 4 in respect of the reporting issuer's securities.

- Despite paragraph (1)(b), an offeror may omit the securityholding percentage from a news release if it is included in the corresponding report filed under the early warning requirements and the change in percentage would represent less than 1 percent of the class.

- A news release may also include

- information in addition to that required by this Instrument; and

- (b) a declaration that the issuance of the news release is not an admission that an entity named in the news release owns or controls any described securities or is a joint actor with another named entity.

#### **APPENDIX F REQUIRED DISCLOSURE**

##### **REQUIRED DISCLOSURE IN NEWS RELEASE AND REPORT FILED BY AN ELIGIBLE INSTITUTIONAL INVESTOR UNDER SECTION 4.3**

1. For each class of securities involved in an occurrence giving rise to an obligation to file a news release under section 4.3 and, if applicable, for each class of voting or equity securities into which the securities of the class are convertible, exercisable or exchangeable, the news release shall include:
  - (a) a statement that the eligible institutional investor is ceasing to file reports under Part 4 for the reporting issuer;
  - (b) the reasons for doing so;
  - (c) the name and address of the eligible institutional investor;
  - (d) the designation and number or principal amount of securities and the eligible institutional investor's securityholding percentage in the class of securities immediately after the occurrence giving rise to obligation to file the news release;
  - (e) the designation and number or principal amount of securities and the percentage of outstanding securities of the class of securities referred to in paragraph (d) over which
    - (i) the eligible institutional investor, either alone or together with any joint actors, has ownership and control,
    - (ii) the eligible institutional investor, either alone or together with any joint actors, has ownership but control is held by other persons or companies other than the eligible institutional investor or any joint actor, and
    - (iii) the eligible institutional investor, either alone or together with any joint actors, has exclusive or shared control but does not have ownership;
  - (f) the purpose of the eligible institutional investor and any joint actors in effecting the occurrence that gave rise to the news release, including any future intention to acquire ownership of, or control over, additional securities of the reporting issuer;
  - (g) the general nature and the material terms of any agreement, other than lending arrangements, with respect to securities of the reporting issuer entered into by the eligible institutional investor, or any joint actor, and the issuer of the securities or any other entity in connection with the occurrence giving rise to the news release, including agreements with respect to the acquisition, holding, disposition or voting of any of the securities;
  - (h) the names of any joint actors in connection with the disclosure required by this Appendix;
  - (i) in the case of an occurrence that did not take place on a stock exchange or other market that represents a published market for the securities, including an issuance from treasury, the nature and value of the consideration paid by the eligible institutional investor; and

- (j) if applicable, a description of any change in any material fact set out in a previous report by the eligible institutional investor under the early warning requirements or Part 4 in respect of the reporting issuer's securities.

#### 2. A news release may also include

- (a) information in addition to that required by this Instrument; and
- (b) a declaration that the issuance of the news release is not an admission that an entity named in the news release owns or controls any described securities or is a joint actor with another named entity.

#### **APPENDIX G REQUIRED DISCLOSURE**

##### **REQUIRED DISCLOSURE IN REPORT FILED BY AN ELIGIBLE INSTITUTIONAL INVESTOR UNDER PART 4**

1. For each class of securities required to be reported upon under Part 4, a report shall include:
  - (a) the name and address of the eligible institutional investor;
  - (b) the net increase or decrease in the number or principal amount of securities, and in the eligible institutional investor's securityholding percentage in the class of securities, since the last report filed by the eligible institutional investor under Part 4 or the early warning requirements;
  - (c) the designation and number or principal amount of securities and the eligible institutional investor's securityholding percentage in the class of securities at the end of the month for which the report is made;
  - (d) the designation and number or principal amount of securities and the percentage of outstanding securities referred to in paragraph (c) over which
    - (i) the eligible institutional investor, either alone or together with any joint actors, has ownership and control,
    - (ii) the eligible institutional investor, either alone or together with any joint actors, has ownership but control is held by other entities other than the eligible institutional investor or any joint actor, and
    - (iii) the eligible institutional investor, either alone or together with any joint actors, has exclusive or shared control but does not have ownership;
  - (e) the purpose of the eligible institutional investor and any joint actors in acquiring or disposing of ownership of, or control over, the securities, including any future intention to acquire ownership of, or control over, additional securities of the reporting issuer;
  - (f) the general nature and the material terms of any agreement, other than lending arrangements, with respect to securities of the reporting issuer entered into by the eligible institutional investor, or any joint actor, and the issuer of the securities or any other entity in connection with any transaction or occurrence resulting in the change in ownership or control giving rise to the report, including agreements with respect to the acquisition, holding, disposition or voting of any of the securities;
  - (g) the names of any joint actors in connection with the disclosure required by this Appendix;

- (h) if applicable, a description of any change in any material fact set out in a previous report by the eligible institutional investor under the early warning requirements or Part 4 in respect of the reporting issuer's securities; and
- (i) a statement that the eligible institutional investor is eligible to file reports under Part 4 in respect of the reporting issuer.
2. Despite paragraph (1)(b), an eligible institutional investor may omit the securityholding percentage from a report if the change in percentage is less than 1 percent of the class.
3. A report may also include
- (a) information in addition to that required by this Instrument; and
- (b) a declaration that the filing of the report is not an admission that an entity named in the report owns or controls any described securities or is a joint actor with another named entity.

|         |                                                                    |     |
|---------|--------------------------------------------------------------------|-----|
| PART 7  | UNDERWRITING EXEMPTION                                             | 403 |
|         | 7.1 Underwriting Exemption                                         | 403 |
| PART 8  | RELIEF FOR PLEDGEEES                                               | 404 |
|         | 8.1 Relief for Pledges                                             | 404 |
|         | 8.2 Further Relief for de minimis Pledges                          | 404 |
|         | 8.3 Corresponding Insider Reporting Relief                         | 404 |
| PART 9  | INSIDER REPORTING EXEMPTION;<br>EARLY WARNING DECREASE REPORTS     | 404 |
|         | 9.1 Insider Reporting Exemption;<br>Early Warning Decrease Reports | 404 |
| PART 10 | MORATORIUM RELIEF                                                  | 405 |
|         | 10.1 Moratorium Relief                                             | 405 |
| PART 11 | EXEMPTIONS                                                         | 405 |
|         | 11.1 Exemptions                                                    | 405 |
| PART 12 | EFFECTIVE DATE                                                     | 405 |
|         | 12.1 Effective Date                                                | 405 |

|            |                                              |
|------------|----------------------------------------------|
| APPENDIX A | CONTROL BLOCK DISTRIBUTION<br>DEFINITION     |
| APPENDIX B | EARLY WARNING REQUIREMENTS                   |
| APPENDIX C | MORATORIUM PROVISIONS                        |
| APPENDIX D | SECURITY OWNERSHIP AND CONTROL<br>PROVISIONS |

|            |                                                                                  |
|------------|----------------------------------------------------------------------------------|
| APPENDIX E | REQUIRED DISCLOSURE IN NEWS<br>RELEASE FILED UNDER EARLY<br>WARNING REQUIREMENTS |
|------------|----------------------------------------------------------------------------------|

|            |                                                                                                                       |
|------------|-----------------------------------------------------------------------------------------------------------------------|
| APPENDIX F | REQUIRED DISCLOSURE IN NEWS<br>RELEASE AND REPORT FILED BY AN<br>ELIGIBLE INSTITUTIONAL INVESTOR<br>UNDER SECTION 4.3 |
| APPENDIX G | REQUIRED DISCLOSURE IN REPORT<br>FILED BY AN ELIGIBLE INSTITUTIONAL<br>INVESTOR UNDER PART 4                          |

(6607) 10

**NATIONAL INSTRUMENT 62-103****THE EARLY WARNING SYSTEM AND RELATED  
TAKE-OVER BID AND INSIDER REPORTING ISSUES****TABLE OF CONTENTS**

| PART   | TITLE                                                                          | PAGE |
|--------|--------------------------------------------------------------------------------|------|
| PART 1 | DEFINITIONS AND INTERPRETATION                                                 | 399  |
|        | 1.1 Definitions                                                                | 399  |
|        | 1.2 Deemed Effective Control                                                   | 400  |
| PART 2 | GENERAL RELIANCE AND REPORTING<br>PROVISIONS                                   | 400  |
|        | 2.1 Reliance on Reported Outstanding<br>Shares                                 | 400  |
|        | 2.2 Copies of News Release and Report                                          | 401  |
|        | 2.3 No Duplication of News Releases<br>or Reports                              | 401  |
| PART 3 | EARLY WARNING REQUIREMENTS                                                     | 401  |
|        | 3.1 Contents of News Releases and Reports                                      | 401  |
|        | 3.2 Filing Relief for Joint Actors                                             | 401  |
|        | 3.3 Exemption from Early Warning<br>Requirements for Mutual Fund<br>Securities | 401  |
| PART 4 | ALTERNATIVE MONTHLY REPORTING<br>SYSTEM                                        | 401  |
|        | 4.1 Exemption from the Early Warning<br>Requirements                           | 401  |
|        | 4.2 Disqualification                                                           | 401  |
|        | 4.3 Reporting and Filing Requirements                                          | 401  |
|        | 4.4 Restrictions on Acquisitions                                               | 402  |
|        | 4.5 Filing Obligations under this Part                                         | 402  |
|        | 4.6 Change Reports                                                             | 402  |
|        | 4.7 Contents of Reports                                                        | 402  |
|        | 4.8 Exemptions                                                                 | 402  |
| PART 5 | AGGREGATION RELIEF                                                             | 402  |
|        | 5.1 Separate Business Units                                                    | 402  |
|        | 5.2 Securities Held by an Investment Fund                                      | 402  |
|        | 5.3 Reporting and Record Keeping                                               | 403  |
|        | 5.4 No Requirement to Satisfy<br>Insider Reporting Requirement                 | 403  |
| PART 6 | ISSUER ACTIONS                                                                 | 403  |
|        | 6.1 Issuer Actions                                                             | 403  |

**Applications to  
Provincial Parliament — Private Bills  
Demandes au Parlement  
provincial — Projets de loi d'intérêt privé**

**PUBLIC NOTICE**

The rules of procedure and the fees and costs related to applications for Private Bills are set out in the Standing Orders of the Legislative Assembly. Copies of the Standing Orders may be obtained from:

Committees Branch  
Room 1405, Whitney Block, Queen's Park  
Toronto, Ontario M7A 1A2  
Telephone: 416/325-3500 (Collect calls will be accepted.)

Applicants should note that consideration of applications for Private Bills that are received after the first day of September in any calendar year may be postponed until the first regular Session in the next following calendar year.

(8699) T.F.N. CLAUDE L. DESROSIERS.  
Clerk of the Legislative Assembly.

## Applications to Provincial Parliament Demandes au Parlement provincial

### THE CORPORATION OF THE TOWN OF GREATER NAPANEE

NOTICE IS HEREBY GIVEN on behalf of the Corporation of the Town of Greater Napanee that application will be made to the Legislative Assembly for an Act to amend the Minister's Order dated January 1st, 1997 under Section 25(2) of the *Municipal Act* which implemented a restructuring order for the County of Lennox & Addington. The purpose of the amendment will be to repeal Section 4.3(b)(2) which states: "After the new term of Council commences in the year 2000 each Member of Council shall have one vote unless that member is elected in a Ward that has more than 2,500 electors, then the member shall have an additional vote, except when voting on changes to Ward boundaries, then each member shall have only one vote." The repeal of this Section will in effect leave each Member of Council with one vote. The application will be considered by Standing Committee on Regulations and Private Bills.

Any person with an interest in this application and wishing to make submissions for or against the application should notify in writing: Clerk for Regulations, Legislative Assembly, Room 1405, Whitney Block, 99 Wellesley Street West, Toronto, Ontario M7A 1A2.

Dated at the Town of Greater Napanee, this 1st day of February, 2000.

RAYMOND D. CALLERY,  
Clerk-Administrator,  
Town of Greater Napanee,  
P.O. Box 97,  
Napanee, Ontario  
K7R 3L4.

(3174) 7-10

### 1264030 ONTARIO INC.

NOTICE IS HEREBY GIVEN that on behalf of Graeme Bews and Howard Morrison, application will be made to the Legislative Assembly of the Province of Ontario, for an Act reviving 1264030 Ontario Inc. an Ontario Corporation which Corporation was dissolved on January 28th, 1998.

The application will be considered by the Standing Committee on Regulations and Private Bills. Any person who has an interest in the application and who wishes to make submissions, for or against the application, to the Standing Committee on Regulations and Private Bills should notify, in writing, the Clerk of the Legislative Assembly, Legislative Building, Queen's Park, Toronto, Ontario M7A 1A2.

Dated at Sudbury, this 9th day of February, 2000.

LACROIX FOREST DEL FRATE,  
Per: André Lacroix, Q.C.  
Signing on behalf of the applicant.

(3182) 9-12

## Corporation Notices Avis relatifs aux compagnies

### TRA LOON MARKETING SERVICES LTD.

NOTICE IS HEREBY GIVEN that the shareholders of TRA LOON MARKETING SERVICES LTD. on the 8th day of February, 2000 passed a Special Resolution requiring the Corporation to be wound up voluntarily under the provisions of the *Business Corporations Act* and appointing Dennis Chan and Frederick K.C. Kwan, both of the City of Toronto, as Liquidators.

Dated at Toronto, this 8th day of February, 2000.

DENNIS CHAN,  
FREDERICK K.C. KWAN,  
Liquidators.

(3191) 10

## Sheriff's Sale of Lands Ventes de terrains par le shérif

UNDER AND BY VIRTUE OF A Writ of Seizure and Sale issued out of the Ontario Court (General Division), in a proceeding commenced at Toronto, to me directed, against the real and personal property of ABBAS AL SHAMMARI, Defendant, at the suit of THE BANK OF NOVA SCOTIA, Plaintiff, I have seized and taken in execution all the right, title, interest and equity of redemption of the said ABBAS AL SHAMMARI in and to:

ALL AND SINGULAR that certain parcel or tract of land and premises situate lying and being in the City of Toronto and being composed of Parcel 12-1, Section M-1472 LT 12, Land Registry #66, City of Toronto.

Municipally known as 8 Craggview Drive, Toronto, Ontario

On the said premises is said to be erected a 2 storey detached brick & aluminum family dwelling, 4 bedrooms/4 bathrooms, Basement Apartment and double attached garage.

The said right, title, interest and equity of redemption of ABBAS AL SHAMMARI shall be offered for sale by Public Auction in my office Room 403, Court House, 361 University Avenue, Toronto, Ontario, on Tuesday April 4, 2000 at 11:00 a.m. The purchaser assumes all mortgages, charges, liens and encumbrances. The following encumbrances with their approximate values are presently outstanding:

First Mortgage \$143,263.07 @ 7.9% matures November 1, 2000

Second Mortgage \$24,499.08 @ 7.34 p/day matures May 15, 2000.

TERMS: Cash or certified cheque made payable to the Sheriff,  
City of Toronto.

\$2,000.00 refundable deposit to register.

Deposit of \$2,000.00 or 10% of bid price

(whichever greater) applied to purchase price  
of successful bidder.

Ten days to make final payment.

Registration from 9:00 a.m. to 10:30 a.m. on day of sale.

Bidding by number only.

Other conditions as announced.

This sale is subject to cancellation up to the time of sale without further notice. No Telephone Inquiries.

Dated at Toronto, this 3rd day of February, 2000.

(3192) 10  
MICHAEL CASH, Sheriff,  
City of Toronto.

## Sales of Lands for Tax Arrears by Public Tender Ventes de terrains par appel d'offres pour arriéré d'impôt

### MUNICIPAL TAX SALES ACT

R.S.O. 1990, c. M.60, s. 9 (2) (d) R.R.O. 1990, Reg. 824

### THE CORPORATION OF THE TOWNSHIP OF NORTH DUNDAS

TAKE NOTICE that tenders are invited for the purchase of the lands described below and will be received until 3:00 p.m. local time on March 15, 2000 at the Municipal Office in Winchester, Ontario.

The tenders will then be opened in public on the same day at 3:30 p.m. local time at the Municipal Office in Winchester, Ontario.

| Description of Land(s)                                                                                                                                                                                                              | Minimum<br>Tender Amount |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| 1. Part of Lot 5, Concession 6<br>(Geographic Township of Mountain<br>now in the Township of North Dundas,<br>County of Dundas, being Part 1 on<br>Reference Plan 8R-2927<br>Roll No. 011-006-56000. ....                           | \$19,022.02              |
| 2. Part of Lot 6, Concession 9<br>(formerly in the Township of Mountain<br>now in the Township of North Dundas,<br>County of Dundas<br>Now designated as Parts 1 and 2<br>on Reference Plan 8R-3384<br>Roll No. 011-009-33000. .... | \$2,620.88               |

Tenders must be submitted in the prescribed form and must be accompanied by a deposit in the form of a money order or of a bank draft or cheque certified by a bank, trust corporation or Province of Ontario Savings Office payable to the municipality or board and representing at least twenty 20 per cent of the tender amount.

The Municipality makes no representation regarding the title to or any other matters relating to the land to be sold. Responsibility for ascertaining these matters rests with the potential purchasers.

This sale is governed by the *Municipal Tax Sales Act* and the *Municipal Tax Sales Rules* made under that Act. The successful purchaser will be required to pay the amount tendered plus accumulated taxes and the relevant land transfer tax.

For further information regarding this sale and a copy of the prescribed form of tender, contact:

BONNIE DINGWALL,  
Treasurer/Deputy Clerk,  
The Corporation of the Township of  
North Dundas,  
547 St. Lawrence Street,  
Winchester, Ontario K0C 2K0,  
(613) 774-2105.

(3193) 10

#### MUNICIPAL TAX SALES ACT

##### THE CORPORATION OF THE TOWNSHIP OF SABLES-SPANISH RIVERS

TAKE NOTICE that tenders are invited for the purchase of the lands described below and will be received until 3:00 p.m. local time on April 12th, 2000 at the Municipal Office, 11 Birch Lake Road, Box 5, Site 1, RR #3, Massey, Ontario P0P 1P0.

The tenders will then be opened in public on the same day at the Municipal Office, 11 Birch Lake Road, Massey, Ontario P0P 1P0 during the council meeting at 7:45 p.m.

| Description of Land(s)                                                                                                                                                                                   | Minimum<br>Tender Amount |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| <b>FIRSTLY:</b><br>Parcel 27453 S.W.S.<br>Lot 9, Concession 6,<br>Designated as Part 1, Plan 53R-6467,<br>Township of Hallam,<br>District of Sudbury<br>Roll # 52-18-0100-005-15800 .....                | \$2,754.92               |
| <b>SECONDLY:</b><br>Parcel 27965 S.W.S.<br>Pt of Lot 12, Concession 1,<br>Designated as Parts 5 & 6,<br>Plan 53R-9973,<br>Township of Hallam,<br>District of Sudbury<br>Roll # 52-18-000-005-02501 ..... | \$4,510.86               |

Tenders must be submitted in the prescribed form and must be accompanied by a deposit in the form of a money order or of a bank draft or cheque certified by a bank, trust corporation or Province of Ontario Savings Office payable to the Municipality and representing at least twenty 20 per cent of the tender amount.

The Municipality makes no representation regarding the title to or any other matters relating to the land to be sold. Responsibility for ascertaining these matters rests with the potential purchasers.

This sale is governed by the *Municipal Tax Sales Act* being chapter M.60 of the Revised Statutes of Ontario, 1990 and the *Municipal Tax Sales Rules* made under that Act. The successful purchaser will be required to pay the amount tendered plus accumulated taxes and the relevant land transfer tax.

For further information regarding this sale and a copy of the prescribed form of tender, contact:

PHILIP BUTLER,  
Treasurer/Tax Collector,  
The Corporation of the Township of  
Sables-Spanish Rivers,  
11 Birch Lake Road, RR #3, Site 1,  
Box 5, Massey, Ontario P0P 1P0,  
Telephone: 705-865-2646  
Fax: 705-865-2736.

(3194) 10

#### MUNICIPAL TAX SALES ACT

##### THE CORPORATION OF THE TOWNSHIP OF HALDIMAND

TAKE NOTICE that tenders are invited for the purchase, individually, of the lands described below and will be received until 3:00 p.m. local time on Monday, March 20, 2000 at Municipal Offices, 10836 County Road 2, P.O. Box 70, Grafton, Ontario K0K 2G0.

The tenders will then be opened in public on the same day at the Township Offices at 3:30 p.m.

| Description of Land(s)                                                                                                                                                                                                                                                                                                          | Minimum<br>Tender Amount |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| A. Lot 8, Concession 1,<br>Township of Haldimand,<br>County of Northumberland,<br>Province of Ontario, having an<br>area of 36.512 acres more<br>or less, shown in yellow on a<br>Plan of Survey P-3179-62 more<br>particularly described in Deed #074913<br>save and except the lands described in<br>Instrument #138240 ..... | \$3,148.38               |
| B. Composed of the east half and<br>the North Forty acres of<br>the north-west corner of<br>Lot 6, Concession 6,<br>Township of Haldimand,<br>County of Northumberland,<br>Province of Ontario, containing<br>by admeasurement 140 acres<br>of land more or less. ....                                                          | \$5,212.74               |
| C. Part of Lot 24, Concession 1,<br>Part 2, Plan 39R-3348,<br>Township of Haldimand,<br>County of Northumberland,<br>Province of Ontario, .....                                                                                                                                                                                 | \$4,343.93               |

Tenders must be submitted in the prescribed form and must be accompanied by a deposit in the form of a money order or of a bank

draft or cheque certified by a bank, trust corporation or Province of Ontario Savings Office payable to the municipality (or board) and representing at least twenty 20 per cent of the tender amount.

Separate Tenders must be submitted for each property.

The Municipality makes no representation regarding the title to or any other matters relating to the land to be sold. Responsibility for ascertaining these matters rests with the potential purchasers.

This sale is governed by the *Municipal Tax Sales Act* being chapter M.60 of the Revised Statutes of Ontario, 1990 and the *Municipal Tax*

*Sales Rules* made under that Act. The successful purchaser will be required to pay the amount tendered plus accumulated taxes and the relevant land transfer tax.

For further information regarding the regulations of this sale:

TERRENCE KOROTKI, B.A. AMCT,  
Clerk-Treasurer,  
The Corporation of the Township  
of Haldimand,  
P.O. Box 70,  
Grafton, Ontario K0K 2G0.

(3195) 10



# Publications under the Regulations Act Publications en vertu de la Loi sur les règlements

2000—03—04

## ONTARIO REGULATION 127/00 made under the DENTAL HYGIENE ACT, 1991

Made: January 10, 2000  
Approved: February 16, 2000  
Filed: February 17, 2000

Revoking O. Reg. 747/93  
(Election of Council Members)

### 1. Ontario Regulations 747/93 and 402/94 are revoked.

COUNCIL OF THE COLLEGE OF  
DENTAL HYGIENISTS OF ONTARIO:

EVIE F. JESIN  
*President*

FRAN RICHARDSON  
*Registrar*

Dated on January 10, 2000.

10/00

## ONTARIO REGULATION 129/00 made under the OCCUPATIONAL THERAPY ACT, 1991

Made: January 7, 2000  
Approved: February 16, 2000  
Filed: February 17, 2000

Revoking O. Reg. 834/93  
(Elections)

### 1. Ontario Regulations 834/93, 215/94 and 225/96 are revoked.

COUNCIL OF THE COLLEGE OF  
OCCUPATIONAL THERAPISTS OF ONTARIO:

JOY SOMMERFREUND  
*President*

JAN ROBINSON  
*Registrar*

Dated on January 7, 2000.

10/00

## ONTARIO REGULATION 128/00 made under the DENTAL HYGIENE ACT, 1991

Made: January 10, 2000  
Approved: February 16, 2000  
Filed: February 17, 2000

Revoking O. Reg. 616/93  
(Composition of Statutory Committees)

### 1. Ontario Regulations 616/93 and 113/94 are revoked.

COUNCIL OF THE COLLEGE OF  
DENTAL HYGIENISTS OF ONTARIO:

EVIE F. JESIN  
*President*

FRAN RICHARDSON  
*Registrar*

Dated on January 10, 2000.

10/00

## ONTARIO REGULATION 130/00 made under the OCCUPATIONAL THERAPY ACT, 1991

Made: January 7, 2000  
Approved: February 16, 2000  
Filed: February 17, 2000

Amending O. Reg. 226/96  
(General)

Note: Since the end of 1998, Ontario Regulation 226/96 has been amended by Ontario Regulations 28/99 and 564/99. Previous amendments are listed in the Table of Regulations in the Statutes of Ontario, 1998.

### 1. Parts I, II and III of Ontario Regulation 226/96 are revoked.

COUNCIL OF THE COLLEGE OF  
OCCUPATIONAL THERAPISTS OF ONTARIO:

JOY SOMMERFREUND  
*President*

JAN ROBINSON  
*Registrar*

Dated on January 7, 2000.

10/00

**ONTARIO REGULATION 131/00**  
made under the  
**DENTAL HYGIENE ACT, 1991**

Made: January 10, 2000  
Approved: February 16, 2000  
Filed: February 17, 2000

Amending O. Reg. 218/94  
(General)

Note: Since the end of 1998, Ontario Regulation 218/94 has been amended by Ontario Regulation 537/99. Previous amendments are listed in the Table of Regulations in the Statutes of Ontario, 1998.

**1. Parts I and III of Ontario Regulation 218/94 are revoked.**

COUNCIL OF THE COLLEGE OF  
DENTAL HYGIENISTS OF ONTARIO:

EVIE F. JESIN  
*President*

FRAN RICHARDSON  
*Registrar*

Dated on January 10, 2000.

10/00

**ONTARIO REGULATION 132/00**  
made under the  
**ONTARIO PLANNING AND  
DEVELOPMENT ACT, 1994**

Made: February 10, 2000  
Filed: February 17, 2000

Amending O. Reg. 478/73  
(Municipality of Metropolitan Toronto, Borough of Etobicoke  
(now the City of Etobicoke))

Note: Since the end of 1998, Ontario Regulation 478/73 has been amended by Ontario Regulation 341/99. Previous amendments are listed in the Tables of Regulations in the Statutes of Ontario, 1981, 1991 and 1998.

**1. Ontario Regulation 478/73 is amended by adding the following section:**

21. (1) Despite section 4, the land described in subsection (3) may be used for outside storage of materials, refuse and a loading space in conjunction with the industries located on Lot 19, Plan M-2009.

(2) The storage use referred to in subsection (1) is limited to materials which are not hazardous or obnoxious.

(3) Subsections (1) and (2) apply to land in the City of Toronto described as Block 34 on Plan M-2009 registered in the Land Registry Office for the Land Titles Division of Toronto (No. 66).

AUDREY BENNETT  
*Acting Director*  
*Provincial Planning and Environmental Services Branch*  
*Ministry of Municipal Affairs and Housing*

Dated on February 10, 2000.

10/00

**ONTARIO REGULATION 133/00**  
made under the  
**SECURITIES ACT**

Made: December 14, 1999  
Filed: February 18, 2000

Amending Reg. 1015 of R.R.O. 1990  
(General)

Note: Since the end of 1998, Regulation 1015 has been amended by Ontario Regulations 1/99, 322/99, 3/00 and 108/00. Previous amendments are listed in the Table of Regulations in the Statutes of Ontario, 1998.

**1. Sections 197 and 199 of Regulation 1015 of the Revised Regulations of Ontario, 1990 are revoked.**

**2. This Regulation comes into force on March 15, 2000.**

ONTARIO SECURITIES COMMISSION:

DAVID BROWN  
*Chair*

JOHN GELLER  
*Vice-Chair*

Dated on December 14, 1999.

10/00

**ONTARIO REGULATION 134/00**  
made under the  
**MILK ACT**

Made: February 8, 2000  
Filed: February 18, 2000

Amending Reg. 753 of R.R.O. 1990  
(Grades, Standards, Designations, Classes, Packing and Marking)

Note: Since the end of 1998, Regulation 753 has been amended by Ontario Regulation 376/99. Previous amendments are listed in the Table of Regulations in the Statutes of Ontario, 1998.

**1. Section 8 of Regulation 753 of the Revised Regulations of Ontario, 1990 is revoked and the following substituted:**

8. (1) A fluid milk product for retail sale must be in a container described in one of the following paragraphs, subject to the restrictions, if any, set out in the paragraph:

1. A container of any size that is 500 millilitres or smaller.
2. A container that is 625 millilitres.
3. A container that is 750 millilitres.
4. A container that is one litre.
5. A container that is two litres.
6. A container that is four litres or larger in increments of one litre.
7. A container of any size if the product in it is a milk beverage.

8. A glass bottle of any size if the processor who packages the product accepts bottles of that type after sale for refilling with a fluid milk product.

(2) In addition to the containers mentioned in subsection (1), unhomogenized milk may be sold or processed for sale to a consumer in a container of 20 litres or more containing no internal gradations.

ONTARIO FARM PRODUCTS MARKETING COMMISSION:

ROD STORK  
*Chair*

GLORIA MARCO BORYS  
*Secretary*

Dated on February 8, 2000.

10/00

**ONTARIO REGULATION 135/00**  
made under the  
**INTERCOUNTRY ADOPTION ACT, 1998**

Made: February 16, 2000  
Filed: February 18, 2000

Amending O. Reg. 200/99  
(General)

Note: Ontario Regulation 200/99 has not previously been amended.

**1. Ontario Regulation 200/99 is amended by adding the following section:**

**EXEMPTION**

**13.1** The Act and the regulations do not apply to an adoption to which the Convention applies if the adoption will be finalized in an Ontario court.

10/00

**ONTARIO REGULATION 136/00**  
made under the  
**EDUCATION ACT**

Made: February 16, 2000  
Filed: February 18, 2000

Amending O. Reg. 20/98  
(Education Development Charges — General)

Note: Ontario Regulation 20/98 has previously been amended. Those amendments are listed in the Table of Regulations in the Statutes of Ontario, 1998.

**1. Section 1 of Ontario Regulation 20/98 is amended by adding the following definition:**

“growth-related net education capital cost” means the net education capital cost reasonably attributable to the need for such net education capital cost that is attributed to or will result from development in all or part of the area of jurisdiction of a board and, for the purpose of this definition, “net education capital cost” has the same meaning as in Part III of the *Development Charges Act* as that Act read on January 31, 1998. (“dépense en capital nette à fin scolaire liée à la croissance”)

**2. Paragraph 2 of subsection 16.1 (2) of the Regulation is revoked and the following substituted:**

2. The costs are growth-related net education capital costs.

**3. (1) Subparagraph 1 ii of subsection 23 (3) of the Regulation is revoked and the following substituted:**

- ii. The costs are growth-related net education capital costs.

**(2) Paragraph 2 of clause 23 (6) (b) of the Regulation is revoked and the following substituted:**

2. The costs are growth-related net education capital costs.

**RÈGLEMENT DE L'ONTARIO 136/00**  
pris en application de la  
**LOI SUR L'ÉDUCATION**

pris le 16 février 2000  
déposé le 18 février 2000

modifiant le Règl. de l'Ont. 20/98  
(Redevances d'aménagement scolaires — Dispositions générales)

Remarque : Le Règlement de l'Ontario 20/98 a été modifié antérieurement. Ces modifications sont indiquées dans la Table des règlements figurant dans les Lois de l'Ontario de 1998.

**1. L'article 1 du Règlement de l'Ontario 20/98 est modifié par adjonction de la définition suivante :**

«dépense en capital nette à fin scolaire liée à la croissance» Dépense en capital nette à fin scolaire qui est raisonnablement imputable au besoin d'une telle dépense et qui est imputée à des travaux d'aménagement effectués dans tout ou partie du territoire de compétence d'un conseil ou qui résultera de ces travaux. Pour l'application de la présente définition, «dépense en capital nette à fin scolaire» s'entend au sens de «coût en immobilisations net relatif à l'éducation» à la partie III de la *Loi sur les redevances d'exploitation* telle qu'elle existait le 31 janvier 1998. («growth-related net education capital cost»)

**2. La disposition 2 du paragraphe 16.1 (2) du Règlement est abrogée et remplacée par ce qui suit :**

2. Il s'agit de dépenses en capital nettes à fin scolaire liées à la croissance.

**3. (1) La sous-disposition 1 ii du paragraphe 23 (3) du Règlement est abrogée et remplacée par ce qui suit :**

- ii. Il s'agit de dépenses en capital nettes à fin scolaire liées à la croissance.

**(2) La disposition 2 de l'alinéa 23 (6) b) du Règlement est abrogée et remplacée par ce qui suit :**

2. Il s'agit de dépenses en capital nettes à fin scolaire liées à la croissance.

**(3) Section 23 of the Regulation is amended by adding the following subsection:**

(6.0.1) Despite subsection (6), a board that has not passed a new education development charge by-law may use money from an education development charge reserve fund established under paragraph 1 of subsection (4) for a purpose set out in section 1 of Ontario Regulation 446/98 if,

- (a) the money is used to fund costs related to school properties located in the area to which applied the successor board's predecessor by-law for the account established under subsection (1); and
- (b) the money is used to fund costs that are growth-related net education capital costs.

10/00

**(3) L'article 23 du Règlement est modifié par adjonction du paragraphe suivant :**

(6.0.1) Malgré le paragraphe (6), les conseils qui n'ont pas adopté de nouveau règlement de redevances d'aménagement scolaires peuvent affecter les sommes versées dans un fonds de réserve de redevances d'aménagement scolaires constitué aux termes de la disposition 1 du paragraphe (4) à une fin énoncée à l'article 1 du Règlement de l'Ontario 446/98 si les conditions suivantes sont réunies :

- a) les sommes sont affectées au financement des frais liés à des biens immeubles scolaires situés dans le secteur auquel s'appliquait le règlement remplacé du conseil qui succède à l'autre pour le compte constitué aux termes du paragraphe (1);
- b) les sommes sont affectées au financement des frais qui constituent des dépenses en capital nettes à fin scolaire liées à la croissance.

---

**ONTARIO REGULATION 137/00**  
made under the  
**EDUCATION ACT**

Made: February 16, 2000  
Filed: February 18, 2000

Amending O. Reg. 471/97  
(Eligible Investments)

Note: Ontario Regulation 471/97 has not previously been amended.

**1. Ontario Regulation 471/97 is amended by adding the following section:**

**5.1** A board shall not invest money from its general fund, its capital fund or a reserve fund in a security unless,

- (a) the money is made repayable on or before the day on which the board requires the money; or
- (b) any interest or other earnings from the investment are credited to the fund from which the money was invested.

10/00

---

**RÈGLEMENT DE L'ONTARIO 137/00**  
pris en application de la  
**LOI SUR L'ÉDUCATION**

pris le 16 février 2000  
déposé le 18 février 2000

modifiant le Règl. de l'Ont. 471/97  
(Placements admissibles)

Remarque : Le Règlement de l'Ontario 471/97 n'a pas été modifié antérieurement.

**1. Le Règlement de l'Ontario 471/97 est modifié par adjonction de l'article suivant :**

**5.1** Le conseil ne doit pas placer des sommes qui proviennent de son fonds d'administration générale, de son fonds de capital ou de ses fonds de réserve dans une valeur mobilière sauf si l'une ou l'autre des conditions suivantes est remplie :

- a) les sommes sont exigibles au plus tard le jour où le conseil en a besoin;
- b) les intérêts sur ces sommes ou les autres gains qu'elles produisent sont portés au crédit du fonds dont elles proviennent.

---

**CORRECTION**

**Ontario Regulation 632/99 under the *Electricity Act*, 1998 published in the January 8, 2000 issue of *The Ontario Gazette*.**

**The dates on which the regulation was made and filed should have read as follows:**

Made: December 22, 1999  
Filed: December 23, 1999

**INDEX 10****GOVERNMENT NOTICES/AVIS DU GOUVERNEMENT**

|                                                                                                                                                                                               |     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| Proclamation .....                                                                                                                                                                            | 393 |
| Motor Vehicle Transport Act/Truck Transportation Act/Loi sur les transports routiers/Loi sur le camionnage .....                                                                              | 394 |
| Ontario Highway Transport Board.....                                                                                                                                                          | 394 |
| Certificates of Dissolution/Certificats de dissolution .....                                                                                                                                  | 395 |
| Cancellation of Certificate of Incorporation (Business Corporations Act)/Annulation de certificat de constitution<br>en personne morale (Loi sur les sociétés par actions).....               | 395 |
| Erratum Notice/Avis d'Erreur .....                                                                                                                                                            | 396 |
| Credit Unions and Caisses Populaires Act (Certificates of Amendment of Articles Issued)/Loi sur les<br>caisses populaires et les credit unions (Certificat de modification des statuts) ..... | 396 |
| Ontario Securities Commission/Commission des valeurs mobilières de l'Ontario .....                                                                                                            | 396 |
| Applications to Provincial Parliament — Private Bills/Demandes au Parlement provincial — Projets de loi d'intérêt privé.....                                                                  | 408 |
| Applications to Provincial Parliament/Demandes au Parlement provincial .....                                                                                                                  | 409 |

|                                                        |     |
|--------------------------------------------------------|-----|
| CORPORATION NOTICES/AVIS RELATIFS AUX COMPAGNIES ..... | 409 |
|--------------------------------------------------------|-----|

|                                                                |     |
|----------------------------------------------------------------|-----|
| SHERIFF'S SALE OF LANDS/VENTES DE TERRAINS PAR LE SHÉRIF ..... | 409 |
|----------------------------------------------------------------|-----|

|                                                                                                                     |     |
|---------------------------------------------------------------------------------------------------------------------|-----|
| SALES OF LANDS FOR TAX ARREARS BY PUBLIC TENDER/VENTES DE TERRAINS PAR APPEL<br>D'OFFRES POUR ARRIÉRÉ D'IMPÔT ..... | 409 |
|---------------------------------------------------------------------------------------------------------------------|-----|

**PUBLICATIONS UNDER THE REGULATIONS ACT/****PUBLICATIONS EN VERTU DE LA LOI SUR LES RÈGLEMENTS**

|                                            |                                                                 |     |
|--------------------------------------------|-----------------------------------------------------------------|-----|
| Dental Hygiene Act, 1991                   | O. Reg. 127/00 .....                                            | 413 |
| Dental Hygiene Act, 1991                   | O. Reg. 128/00 .....                                            | 413 |
| Dental Hygiene Act, 1991                   | O. Reg. 131/00 .....                                            | 414 |
| Education Act                              | O. Reg. 136/00 Loi sur l'éducation Règl. de l'Ont. 136/00 ..... | 415 |
| Education Act                              | O. Reg. 137/00 Loi sur l'éducation Règl. de l'Ont. 137/00 ..... | 416 |
| Intercountry Adoption Act, 1998            | O. Reg. 135/00 .....                                            | 415 |
| Milk Act                                   | O. Reg. 134/00 .....                                            | 414 |
| Occupational Therapy Act, 1991             | O. Reg. 129/00 .....                                            | 413 |
| Occupational Therapy Act, 1991             | O. Reg. 130/00 .....                                            | 413 |
| Ontario Planning and Development Act, 1994 | O. Reg. 132/00 .....                                            | 414 |
| Securities Act                             | O. Reg. 133/00 .....                                            | 414 |



## Bilingual Lexicon of Legislative Terms

### New Edition

This new edition of the *Bilingual Lexicon of Legislative Terms*, prepared by the Office of Legislative Counsel, is the result of a complete review of the 1992 edition. Every entry in that edition was checked against our statutes database. Hundreds of obsolete entries were deleted and thousands of new entries were added. These changes were based on a scanning of the Revised Statutes of Ontario, 1990 and of annual statutes to the end of 1997. Bilingual regulations were not scanned for the purpose of this edition, with the exception of the Rules of Civil Procedures and a few similar regulations.

We hope that this updated edition of the *Lexicon* will reflect even more accurately the terminology used in Ontario's statutes, and that users will find it as complete, practical and reliable a reference work as the previous editions.

Copies of the Lexicon may be purchased for \$30.47 (\$26.50 plus \$1.85 (7%) GST, plus \$2.12 (8%) PST) in person or by telephone, fax, or mail order through **Publications Ontario** at the address and at the following numbers:

880 Bay Street  
TORONTO, ONTARIO M7A 1N8  
(416) 326-5300

Toll-free 1-800-668-9938  
Teletypewriter (TTY) toll-free 1-800-268-7095  
Fax (416) 326-5317

In the Ottawa area contact **Access Ontario** at :

161 Elgin Street, Level 2  
OTTAWA, ONTARIO K2P 2K1  
(613) 238-3630

Toll-free 1-800-268-8758  
Teletypewriter (TTY) (613) 787-4043  
Fax (613) 566-2234

You may also purchase government publications through POOL (Publications Ontario On-line) on the **Internet** at:

[www.publications.gov.on.ca](http://www.publications.gov.on.ca)



## Lexique bilingue de termes législatifs

### Nouvelle édition

Cette nouvelle édition du *Lexique bilingue de termes législatifs*, préparée par le Bureau des conseillers législatifs, constitue une refonte complète de l'édition de 1992. Des centaines de termes désuets figurant dans la précédente édition ont été retranchés; en revanche, des milliers de nouveaux termes viennent enrichir l'ouvrage. Le choix des entrées et des contextes se fonde essentiellement sur le dépouillement des Lois refondues de l'Ontario de 1990 et sur celui des lois annuelles jusqu'à la fin de 1997. Les règlements bilingues n'ont pas été dépouillés, à l'exception des Règles de procédure civile et de quelques règlements analogues.

Nous souhaitons que cette édition mise à jour reflète encore plus fidèlement la terminologie utilisée dans les lois de l'Ontario, et nous espérons que ses usagers y trouveront un instrument de travail aussi complet, pratique et maniable que les éditions précédentes.

On peut se procurer des exemplaires du Lexique au prix unitaire de 30,47 \$ (26,50 \$ plus 1,85 \$ (7 %) TPS, plus 2,12 \$ (8 %) TVP) en personne ou par téléphone, télécopie ou commande postale auprès de **Publications Ontario** à l'adresse et aux numéros suivants :

880, rue Bay  
TORONTO, ONTARIO M7A 1N8  
(416) 326-5300

Numéro sans frais : 1-800-668-9938  
Numéro de téléimprimeur (ATS) sans frais : 1-800-268-7095  
Numéro de télécopieur : (416) 326-5317

Dans la région d'Ottawa, communiquer avec **Accès Ontario** à l'adresse et aux numéros suivants :

161, rue Elgin, 2<sup>e</sup> étage  
OTTAWA, ONTARIO K2P 2K1  
(613) 238-3630

Numéro sans frais : 1-800-268-8758  
Numéro de téléimprimeur (ATS) : (613) 787-4043  
Numéro de télécopieur : (613) 566-2234

On peut également se procurer les publications du gouvernement sur **Internet** par le biais de POD (Publications Ontario en direct) à l'adresse suivante :

[www.publications.gov.on.ca](http://www.publications.gov.on.ca)

## **Discounted Publications**

The following publications are now available at **greatly reduced prices. No refunds or exchanges.**

| <u>Pub#</u> | <u>Title</u>                                                                                          | <u>Reg<br/>Price</u> | <u>Sale<br/>Price</u> |
|-------------|-------------------------------------------------------------------------------------------------------|----------------------|-----------------------|
| 103909      | Achieving Equality: Human Rights Reform                                                               | \$12.00              | <b>\$5.00</b>         |
| 103456      | Action: Communications Guide for Social Marketing in Health Promotion                                 | \$16.00              | <b>\$5.00</b>         |
| 102198      | Competing in the New Global Economy Vol. 1                                                            | \$5.00               | <b>\$2.00</b>         |
| 102200      | Competing in the New Global Economy Vol. 2                                                            | \$5.00               | <b>\$2.00</b>         |
| 102199      | Competing in the New Global Economy Vol. 3                                                            | \$5.00               | <b>\$2.00</b>         |
| 105542      | Electronic Trading Systems OSC Forum Proceedings                                                      | \$10.00              | <b>\$3.00</b>         |
| 104565      | Empowering Spirit of the Native People: Native Literacy Movement in Ontario                           | \$5.00               | <b>\$2.00</b>         |
| 106055      | Guide for Design of Rapid Transit Stations                                                            | \$25.00              | <b>\$7.50</b>         |
| 102638      | Managing for Learning in Organizations                                                                | \$14.00              | <b>\$5.00</b>         |
| 105185      | On Becoming a Teacher                                                                                 | \$9.00               | <b>\$3.00</b>         |
| 104309      | Ontario Communications Handbook                                                                       | \$7.50               | <b>\$1.50</b>         |
| 106252      | Ontario School Board Reduction Task Force: Final Report                                               | \$8.00               | <b>\$2.00</b>         |
| 105615      | Ontario Transfer Guide: Agreement Among Ontario Colleges and Universities                             | \$7.00               | <b>\$2.50</b>         |
| 102644      | Options: Handbook of Retirement Information and Exercises                                             | \$5.00               | <b>\$2.00</b>         |
| 102214      | People and Skills in the New Global Economy                                                           | \$5.00               | <b>\$2.00</b>         |
| 102371      | Prescriptions for Health: Appendices                                                                  | \$10.00              | <b>\$5.00</b>         |
| 106404      | Report to the Attorney General of Ontario on Certain Matters Relating to Karla Homolka                | \$20.00              | <b>\$5.00</b>         |
| 106178      | Report of the Commission on Systemic Racism in the Ontario Criminal Justice System                    | \$28.00              | <b>\$7.50</b>         |
| 106180      | Report of the Commission on Systemic Racism in the Ontario Criminal Justice System: Community Summary | \$4.30               | <b>\$2.00</b>         |
| 104481      | Review of Maternal and Newborn Hospital Services in Ontario                                           | \$7.50               | <b>\$3.00</b>         |

|        |                                                                                                  |          |                |
|--------|--------------------------------------------------------------------------------------------------|----------|----------------|
| 110825 | Revised Regulations of Ontario 1990<br>(9 volume set)                                            | \$495.00 | <b>\$50.00</b> |
| 104121 | Revised Regulations of Ontario 1990<br>Supplement (3 volume set)                                 | \$ 55.00 | <b>\$10.00</b> |
| 110824 | Revised Statutes of Ontario 1990<br>(12 volume set)                                              | \$695.00 | <b>\$75.00</b> |
| 106669 | Report on the Impact of Half-Way House Closures<br>and the Introduction of Electronic Monitoring | \$7.00   | <b>\$3.00</b>  |
| 105528 | Report on the Relationship Between Victims of<br>Crime and the Justice System in Ontario         | \$10.00  | <b>\$2.50</b>  |
| 104131 | Royal Commissions and Commissions of<br>Inquiry 1792-1991: Checklist                             | \$15.00  | <b>\$2.50</b>  |
| 106140 | Setting the Benchmark: Reforming Ontario<br>MPP Pensions and Compensation                        | \$5.00   | <b>\$1.50</b>  |
| 101782 | Working Times                                                                                    | \$7.00   | <b>\$2.00</b>  |
| 103378 | Young and Old Together: Resource Manual<br>on Developing Intergenerational Programs              | \$15.00  | <b>\$5.00</b>  |

All sales are subject to G.S.T.

#### **TORONTO**

For personal shopping:

Publications Ontario

880 Bay Street

Toronto, Ontario M7A 1N8

**Monday to Friday**

8:30 A.M to 5:00 P.M

(416) 326-5300

TTY Toll-Free: 1-800-268-7095

Fax: (416) 326-5317

Internet: [www.publications.gov.on.ca](http://www.publications.gov.on.ca)

**For mail orders:**

Publications Ontario

50 Grosvenor Street

Toronto, Ontario

M7A 1N8

#### **OTTAWA**

For personal shopping:

Access Ontario

161 Elgin St., Level 2

Ottawa, Ontario K2P 2K1

**Monday to Friday**

8:00 A.M to 5:00 P.M

Toll-Free: 1-800-668-9938

(613) 238-3630

Toll-Free: 1-800-268-8758

TTY: (613) 787-4043

Fax: (416) 566-2234

Internet: [www.publications.gov.on.ca](http://www.publications.gov.on.ca)

**For mail orders:**

Access Ontario

161 Elgin St., Level 2

Ottawa, Ontario

K2P 2K1

## **Publications à prix réduit**

Les publications suivantes sont maintenant offertes à des prix **substantiellement réduits**. **Pas de remboursements ni d'échanges.**

| <u>Pub#</u> | <u>Titre</u>                                                                                                                    | <u>Prix<br/>ordinaire</u> | <u>Prix de<br/>solde</u> |
|-------------|---------------------------------------------------------------------------------------------------------------------------------|---------------------------|--------------------------|
| 103457      | Action: Guide des communications pour la commercialisation sociale dans la promotion de la santé                                | 16,00 \$                  | 5,00 \$                  |
| 104482      | Étude sur les services hospitaliers à la mère et au nouveau-né en Ontario                                                       | 7,50 \$                   | 3,00 \$                  |
| 102205      | Formation et adaptation des travailleurs pour la nouvelle économie mondiale                                                     | 5,00 \$                   | 2,00 \$                  |
| 106271      | Groupe d'étude sur la réduction du nombre de conseils scolaires en Ontario: Rapport final                                       | 8,00 \$                   | 2,00 \$                  |
| 104309      | Guide des communications de l'Ontario                                                                                           | 7,50 \$                   | 1,50 \$                  |
| 102646      | Options: Manuel d'information et d'exercices sur la retraite                                                                    | 5,00 \$                   | 2,00 \$                  |
| 106179      | Rapport de la commission sur le racisme systémique dans le système de justice pénale en Ontario                                 | 28,00 \$                  | 7,50 \$                  |
| 106181      | Rapport de la commission sur le racisme systémique dans le système de justice pénale en Ontario: Résumé à l'intention du public | 4, 30 \$                  | 2,00 \$                  |
| 110825      | Règlements refondus de l'ontario 1990 (volume 1-9)                                                                              | 495,00 \$                 | 50,00 \$                 |
| 104121      | Règlements refondus de l'ontario 1990 Supplement (Volume 1-3)                                                                   | 55,00 \$                  | 10,00 \$                 |
| 110824      | Lois refondus de l'ontario 1990                                                                                                 | 695,00 \$                 | 75,00 \$                 |

|        |                                                                                                                     |          |          |
|--------|---------------------------------------------------------------------------------------------------------------------|----------|----------|
| 105528 | Rapport sur les rapports entre les victimes de crime et le système judiciaire en Ontario                            | 10,00 \$ | 2,50 \$  |
| 106669 | Rapport sur l'impact de la fermeture des maisons de transition et de l'introduction de la surveillance électronique | 7,00 \$  | 3,00 \$  |
| 105615 | Reconnaissance de crédits: Répertoire des ententes entre les collèges et les universités de l'Ontario               | 7,00 \$  | 2, 50 \$ |

**La T.P.S est perçue sur tous les achats**

**TORONTO**

Achat en personne:  
Publications ontario  
880 rue Bay,  
Toronto, Ontario M7A 1N8

**du lundi au vendredi**

8h30 à 17h00  
(416) 326-5300  
N°ATME sans frais: 1 (800) 268-7095  
Télécopieur: (416) 326-5317

Internet: [www.publications.gov.on.ca](http://www.publications.gov.on.ca)

**Commandes par correspondance:**

Publications Ontario  
50 rue Grosvenor  
Toronto, Ontario  
M7A 1N8

**OTTAWA:**

Achat en personne  
Accès Ontario  
161, rue Elgin, deuxième étage  
Ottawa, Ontario K2P 2K1

**du lundi au vendredi**

8h00 à 17h00  
N° sans frais: 1 (800) 668-9938  
(613) 238-3630  
N° sans frais: 1 (800) 268-8758  
N°ATME: (613) 787-4043  
Télécopieur: (613) 566-2234

Internet: [www.publications.gov.on.ca](http://www.publications.gov.on.ca)

**Commandes par correspondance:**

Accès Ontario  
161 rue Elgin deuxième étage  
Ottawa, Ontario  
K2P 2K1



## Information

THE ONTARIO GAZETTE is published each Saturday and **advertisements must be received no later than Thursday 4 p.m., 9 days before publication of the issue in which they should appear.**

Advertisements including the names of any signing officers must be typed or written legibly.

1. Advertising rates are for a first insertion per columnar space
  - i. up to 25mm is \$22.50
  - ii. from 25mm to 100mm per columnar space is \$5.60 for each 6mm
  - iii. from 100mm to 476mm per columnar space is \$5.50 for each 6mm
2. in each calendar year, after 476mm has been reached the rate is \$54.10 for each additional 119mm or part thereof.
3. for each multiple insertion ordered at the same time as the first insertion, one-half the rate payable under paragraph 1 or 2, as the case may be

Subscription rate is \$126.50 + 7% G.S.T. for 52 weekly issues and the single copy price of \$2.90 + 7% G.S.T. payable in advance. All rates are subject to increases without notice. **For the correct rate, please contact Tessie Pereira at (416) 326-5311 during normal business hours.**

Subscriptions may be paid by VISA or MasterCard. Cheques or money orders should be made payable to THE MINISTER OF FINANCE and all correspondence, including address changes, should be mailed to:

THE ONTARIO GAZETTE  
50 Grosvenor Street, Toronto, Ontario M7A 1N8  
Telephone 326-5310  
Toll-Free 1-800-668-9938

## Information

LA GAZETTE DE L'ONTARIO paraît chaque samedi, **et les annonces à y insérer doivent parvenir à ses bureaux le jeudi à 16 h au plus tard, soit au moins neuf jours avant la parution du numéro dans lequel elles figureront.**

Les annonces, ainsi que le nom des signataires autorisés, doivent être dactylographiées ou écrites lisiblement.

1. Tarifs publicitaires pour la première insertion, par espace-colonne
  - i. allant jusqu'à 25 mm : 22,50 \$
  - ii. allant de 25 mm à 100 mm, par espace-colonne : 5,60 \$ pour chaque tranche de 6 mm
  - iii. allant de 100 mm à 476 mm, par espace-colonne : 5,50 \$ pour chaque tranche de 6 mm
2. Dans chaque année civile, lorsque l'on a atteint 476 mm, le tarif est de 54,10 \$ pour chaque tranche ou partie de tranche supplémentaire de 119 mm.
3. Pour chaque insertion multiple commandée en même temps que l'insertion initiale, le tarif se calcule à raison de 50 % du tarif payable indiqué au paragraphe 1 ou 2, selon le cas.

Le tarif d'abonnement est de 126,50 \$ + 7% T.P.S. pour 52 numéros hebdomadaires, et le tarif au numéro, de 2,90 \$ + 7% T.P.S. (payable à l'avance). Tous les tarifs peuvent être augmentés sans préavis. **Pour le tarif approprié, veuillez communiquer avec Tessie Pereira au (416) 326-5311 pendant les heures d'ouverture normales de bureau.**

Les paiements peuvent être effectués au moyen de la carte VISA ou MasterCard. Les chèques ou mandats doivent être faits à l'ordre du MINISTRE DES FINANCES et toute correspondance, notamment les changements d'adresse, doit être adressée à :

LA GAZETTE DE L'ONTARIO  
50, rue Grosvenor, Toronto, (Ontario) M7A 1N8  
Téléphone 326-5310  
Appel sans frais 1 800 668-9938